ASSIGNING DISTRIBUTION STATEMENTS

The Department of Defense asks contributors to use a document classification system outlined in DoD Instruction (DoDI) 5230.24, Distribution Statements on Technical Documents, dated 10 January 2023, to indicate how broadly their documents should be distributed based on defined criteria. The information contained below was adapted for NPS thesis use from DoDI 5230.24.

Select your thesis distribution statement in your Python Thesis Dashboard.

STATEMENT A: Public Release

Distribution Statement A. Approved for public release: distribution is unlimited.

This statement may be used only on unclassified technical information that has been approved for public release by a competent authority in accordance with the procedures described in Paragraph 3.2 [of DoD Instruction <u>DoDI 5230.24</u>].

Scientific or technical information resulting from contracted fundamental research efforts will normally be assigned Distribution Statement A, except for those rare and exceptional circumstances where there is a likelihood of disclosing performance characteristics of military systems or of manufacturing technologies that are unique and critical to defense. Extramural research must be scoped and negotiated by the contracting/agreement activity with the contractor and research performer and determined in writing by the contracting/agreement officer to be fundamental research.

This statement will not be used on classified technical information or information containing export-controlled technical information.

This statement may not be used on technical information that was formerly classified or designated as CUI unless such information is approved for public release as described in Paragraph 3.2 [of <u>DoDI</u> <u>5230.24</u>].

STATEMENT B: To U.S. Government Agencies Only

Distribution Statement B. Distribution authorized to U.S. Government agencies [category] [date of determination]. Other requests for this document must be referred to President, Code 261, Naval Postgraduate School, Monterey, CA, 93943-5000 <<or sponsor, as appropriate>> via the Defense Technical Information Center, 8725 John J. Kingman Rd., STE 0944, Ft. Belvoir, VA, 22060.

This statement can apply to classified, CUI, and unclassified technical information. Reasons for assigning statement B include:

CTI (Controlled Technical Information): Technical information with military or space application that is subject to controls on access, use, reproduction, modification, performance, display, release, disclosure, or distribution.





Contractor Performance Evaluation: To protect information in management reviews, records of contract performance evaluation, or other advisory documents evaluating programs of contractors.

Critical Technology: To protect information and technical data that advance current technology or describe new technology in an area of significant or potentially significant military application or that relate to a specific military deficiency of a potential adversary. Information of this type may be classified or unclassified; when unclassified, it is export-controlled and subject to the provisions of DoDD 5230.25.

Export Controlled: To protect information subject to the provisions of <u>DoDD 5230.25</u>, Withholding of Unclassified Technical Data from Public Disclosure.

Foreign Government Information: To protect and limit distribution in accordance with the desires of the foreign government that furnished the technical information.

International Agreements: Protects IAs and IA-related information that may contain sensitive information not authorized for public release.

Operations Security: To protect information and technical data that may be observed by adversary intelligence systems and determine what indicators hostile intelligence systems may obtain that could be interpreted or pieced together to derive critical information in time to be useful to adversaries.

Patents and Inventions: To protect information on any art or process—the way of doing or making things—that is or may be patentable under U.S. patent laws in which the U.S. Government owns or may own a right, title, or interest, including patents and patent applications under secrecy orders in accordance with Chapter 17 of Title 35, U.S.C.

Proprietary Business Information: To protect material and information relating to, or associated with, a company's products, business, or activities.

Small Business Innovation Research: To protect the U.S. Government's rights to use, modify, reproduce, release, perform, display, or disclose this technical data are restricted by the DFARS and the terms of the applicable instrument. The cover will be marked with the SBIR data-rights marking in accordance with the applicable DFARS clause.

Software Documentation: Releasable only in accordance with software license.

Test and Evaluation: To protect results of test and evaluation of commercial products or military hardware when such disclosure may cause unfair advantage or disadvantage to the manufacturer of the product.

Vulnerability Information: To protect information and technical data that provides insight into vulnerabilities of U.S. critical infrastructure, including DoD warfighting infrastructure, vital to National Security that are otherwise not publicly available.





STATEMENT C: To U.S. Government Agencies and their Contractors

Distribution Statement C. Distribution authorized to U.S. Government agencies and their contractors [category] [date of determination]. Other requests for this document must be referred to President, Code 261, Naval Postgraduate School, Monterey, CA, 93943-5000 <<or sponsor, as appropriate>> via the Defense Technical Information Center, 8725 John J. Kingman Rd., STE 0944, Ft. Belvoir, VA, 22060.

This statement can apply to classified, CUI, and unclassified technical information. Reasons for assigning statement C include:

CTI	Same as distribution statement B.
Critical Technology	Same as distribution statement B.
Export Controlled	Same as distribution statement B.
Foreign Government Information	Same as distribution statement B.
International Agreements	Same as distribution statement B.
Software Documentation	Same as distribution statement B.
Vulnerability Information	Same as distribution statement B.

STATEMENT D: To DoD and DoD Contractors Only

Distribution Statement D. Distribution authorized to the Department of Defense and U.S. DoD contractors only [category] [date of determination]. Other requests for this document must be referred to President, Code 261, Naval Postgraduate School, Monterey, CA, 93943-5000 <<or>
 sponsor, as appropriate>> via the Defense Technical Information Center, 8725 John J. Kingman Rd., STE 0944, Ft. Belvoir, VA, 22060.

This statement can apply to classified, CUI, and unclassified technical information. Reasons for statement D include:

CTI	Same as distribution statement B
Critical Technology	Same as distribution statement B
Export Controlled	Same as distribution statement B
Foreign Government Information	Same as distribution statement B
International Agreements	Same as distribution statement B
Software Documentation	Same as distribution statement B
Vulnerability Information	Same as distribution statement B

STATEMENT E: To DoD Components Only

Distribution Statement E. Distribution authorized to DoD Components only [category] [date of determination]. Other requests for this document must be referred to President, Code 261, Naval Postgraduate School, Monterey, CA, 93943-5000 <<or sponsor, as appropriate>> via the Defense Technical Information Center, 8725 John J. Kingman Rd., STE 0944, Ft. Belvoir, VA, 22060.

This statement can apply to classified, CUI, and unclassified technical information. Reasons for assigning statement E include:





Direct Military Support: protects export-controlled technical information of such military significance that release for purposes other than direct support of DoD-approved activities may jeopardize an important technological or operational military advantage of the United States, another country, or a joint U.S.–foreign program. A competent authority will designate such data in accordance with DoDD 5230.25.

CTI Same as distribution statement B Same as distribution statement B Contractor Performance Evaluation Critical Technology Same as distribution statement B Direct Military Support Same as distribution statement B **Export Controlled** Same as distribution statement B Foreign Government Information Same as distribution statement B **International Agreements** Same as distribution statement B **Operations Security** Same as distribution statement B Same as distribution statement B Patents and Inventions Proprietary Business Information Same as distribution statement B Small Business Innovation Research (SBIR) Same as distribution statement B Same as distribution statement B Software Documentation Test and Evaluation Same as distribution statement B Vulnerability Information Same as distribution statement B

STATEMENT F: Used Under Rare and Exceptional Circumstances

Distribution Statement F. Further distribution only as directed by President, Code 261, Naval Postgraduate School, Monterey, CA, 93943-5000 <<or sponsor, as appropriate>> [date of determination] or higher DoD authority.

Distribution Statement F may be applied under rare and exceptional circumstances when specific authority exists or when need-to-know must be verified.

- (1) This statement can apply to classified, CUI, and unclassified technical information.
- (2) The controlling DoD office or a higher authority must be consulted before the material can be distributed. Use of this distribution statement cannot override distribution designated in Federal law, regulation, or U.S. Government—wide policy. <u>DoDI 5230.24</u>, January 10, 2023 SECTION 4: DISTRIBUTION STATEMENTS FOR USE ON TECHNICAL INFORMATION 18
- (3) To promote the free flow of information within the DoD, Distribution Statement F will not be used on classified, CUI, or unclassified scientific or technical information governed by the DoD scientific and technical information program described in <u>DoDI 3200.12</u>.
- (4) Other technical information (e.g., technical manuals and orders, weapons, munitions documents) may be assigned Distribution Statement F, provided it will be reviewed on a 5-year cycle to consider a wider secondary distribution audience.
- (5) The controlling DoD office must respond within 30 days to a request for release of technical information marked with Distribution Statement F. If the controlling DoD office agrees or there





is no response within 30 days of receipt of a request to release the information, the information may be released to any DoD Component with Distribution Statement E and release must be documented.

EXPORT CONTROL WARNING

All technical documents that are determined to contain export-controlled technical data shall be marked as follows, in addition to restricted statements B, C, D, or E:

WARNING - This document contains technical data whose export is restricted by the Arms Export Control Act (Section 2751 of Title 22, United States Code) or the Export Control Reform Act of 2018 (Chapter 58 Sections 4801-4852 of Title 50, United States Code). Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DoD Directive 5230.25 and DoD Instruction 2040.02.

When it is technically not feasible to use the entire statement, an abbreviated marking may be used, and a copy of the full statement added to the "Notice To Accompany Release of Export Controlled Data" required by <u>DoD Directive 5230.25</u>.

HANDLING AND DESTROYING CUI DOCUMENTS (DoDI 5200.48)

Guidance for destroying CUI documents and materials is provided in this issuance, the CUI Registry, and ISOO Notice 2019-03. CUI documents and materials will be formally reviewed in accordance with Paragraphs 4.5.a. and 4.5.b. before approved disposition authorities are applied, including destruction. Media containing CUI must include decontrolling indicators. a. Record and non-record copies of CUI documents will be disposed of in accordance with Chapter 33 of Title 44, U.S.C. and the DoD Components' records management directives. When destroying CUI, including in electronic form, agencies must do so in a manner making it unreadable, indecipherable, and irrecoverable. If the law, regulation, or government-wide policy specifies a method of destruction, agencies must use the method prescribed. b. Record and non-record CUI documents may be destroyed by means approved for destroying classified information or by any other means making it unreadable, indecipherable, and unrecoverable the original information such as those identified in NIST SP 800-88 and in accordance with Section 2002.14 of Title 32, CFR.





DoDI 5230.24, January 10, 2023

Table 1. Distribution Statements and Their Corresponding Categories for Use

DISTRIBUTION STATEMENT A. Approved for public release: distribution is unlimited.

DISTRIBUTION STATEMENT B. Distribution authorized to U.S. Government agencies [category] [date of determination]. Other requests for this document must be referred to [controlling DoD office].

DISTRIBUTION STATEMENT C. Distribution authorized to U.S. Government agencies and their contractors [category] [date of determination]. Other requests for this document must be referred to [controlling DoD office].

DISTRIBUTION STATEMENT D. Distribution authorized to Department of Defense and U.S. DoD contractors only [category] [date of determination]. Other requests for this document must be referred to [controlling DoD office].

DISTRIBUTION STATEMENT E. Distribution authorized to DoD Components only [category] [date of determination]. Other requests for this document must be referred to [controlling DoD office].

DISTRIBUTION STATEMENT F. Further distribution only as directed by [controlling DoD office] [date of determination] or higher DoD authority.

REL TO. Information has been predetermined by the DoD controlling agency, in accordance with established foreign disclosure policies, to be releasable through established foreign disclosure procedures and channels, to the foreign country and international organization indicated.

Α	В	C	D	E
X				
	X	X	X	X
	X			X
	X	X	X	X
				X
	X	X	X	X
	X	X	X	X
	X	X	X	X
	X			X
	X			X
	X			X
	X			X
	X	X	X	X
	X			X
	X	X	X	X
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