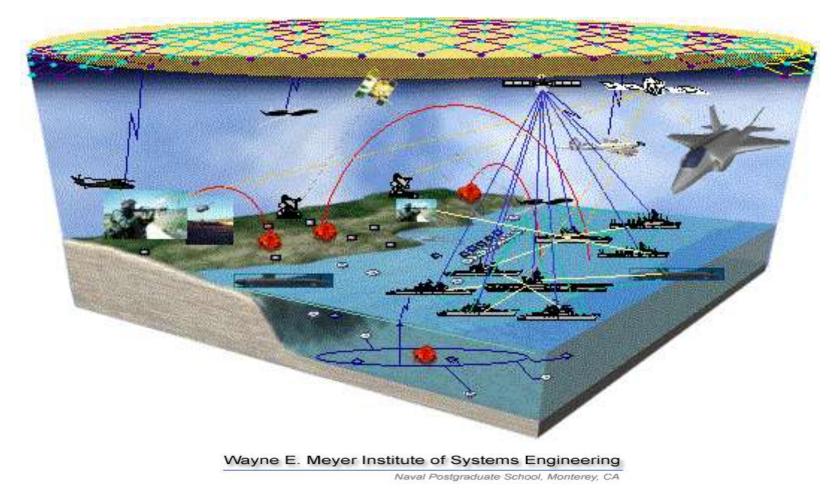


#### 3 June 2004

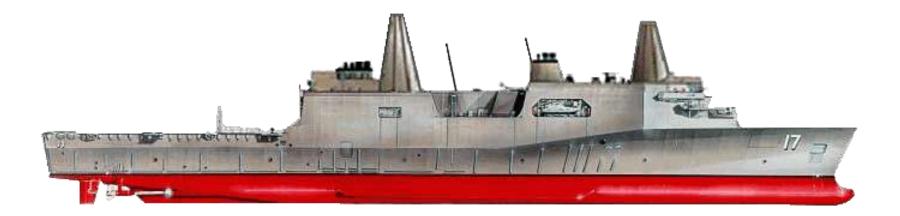






### Presentation Purpose

### Final Review by SEA5 of the AY2004 Spring Integrated Project









| Executive Overview                 | LCDR Tran     |
|------------------------------------|---------------|
| SoS Development                    | ENS Tsikalas  |
| Functional Analysis                | ENS Tubbs     |
| Value Systems Design               | ENS Tubbs     |
| Architectures                      |               |
| Threats & Scenarios                | LT Holmes     |
| TDSI Integration                   | ENS Hartling  |
| Cost Analysis                      | LT Julien     |
| Simulative Study                   | ENS Abbott    |
| Engineering Physics Models         | ENS Poitevent |
| Platform/Combat System Models      | ENS Poitevent |
| Force/Theater Models               | ENS Smith     |
| Architecture Ranking               | LT Graham     |
| Configuration Selection Validation | LT Winslow    |
| Concluding Remarks                 | LCDR Tran     |
| unch Break                         |               |
|                                    |               |





### Executive Overview

LCDR Quoc Tran







- Project Overview
- Project Description
- Project Results
- Project Team Organization
- Project Schedule
- Project Effective Need





**Project Overview** 



- Tasked to Develop a System of Systems Conceptual Solution For Maritime Dominance in the Littorals
- Developed a Project Management Plan
- Used a Systems Engineering Design Process
- Analyzed Threats and Defined Littoral Scenarios
- Generated Conceptual SoS Architecture Alternatives
- Used Modeling and Simulation
- Ranked SoS Architecture Alternatives According to Their Maritime Dominance Effectiveness and Cost
- Delivered The Final Recommendation





# **Project Description**

- Execute Tasking from Deputy Chief of Naval Operations (CNO) for Warfare Requirements (OPNAV 7)
- Develop a Conceptual System of Systems (SoS) for Maritime Dominance that Enables SEA BASING and SEA STRIKE in the Littorals
  - Generate Alternatives Using Existing Systems, Current Programs of Record, and Future Systems
  - Recommend Cost Effective Conceptual SoS That Minimizes Risk To Allied Personnel While Accomplishing Objectives
- Deliver Results in a Final Briefing and Technical Report

#### Combination of both Manned and Unmanned Systems Surface, Subsurface, Air and Space Systems

**Employment of Forces From All Services** 

#### **Constraints**

Scenario Constraints

**SoS Architectural Focus** 

- Land Forces Deployed up to 200 nm Inland
- Striking/Supporting Maritime Forces Deployed up to 200 nm Offshore
- Timeframe Constraint
  - Concepts of Operations Applicable within 2020 Timeframe
- Cost Being a Necessary Selection Variable





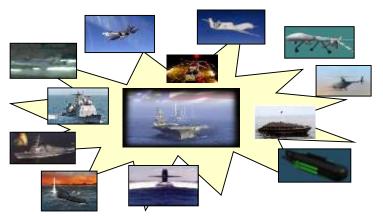
### **SoS** Focus and Constraints

#### Recommended System of Systems for Maritime Dominance in Littorals

#### •Unmanned Vehicles Complement But Cannot Replace Manned Platforms

•Recommended System of Systems Enabling SEA BASING and SEA STRIKE in 200 nm by 200 nm Littoral Operation Area in 2020 Timeframe

- Consists of Unmanned/Manned Vehicle Ratio of Approximately 1.5 to 1
- Utilizes Distributed Communications with 100nm Physical Platform Distribution
- Employs Decentralized Command & Control Structure
- Is Cost Effective Relative to Other Alternatives





#### • Distributed Communications

- Faster Dissemination of Information
- Minimum Impact on Throughput with Node Failures

#### • Decentralized Command and Control

- Shorter Reaction Times
- Less Network Demand
- Single C2 Node Failure Avoidance
- 100 nm Platform Distribution
  - -Superior Overall Performance



#### 2004 Integrated Project Interface





#### **Faculty Advisors**

Prof. W. Solitario-Overall Project Coord Dr. T. Huynh-Proj. Mgmt, Sys. Design & Analysis Dr. R. Cristi-Communications Dr. D. Kapolka-Sensors Dr. G. Karunasiri-Sensors Dr. I. Kaminer-Land Systems Dr. F. Papoulias-Land Systems LCDR R. Gottfried-Operations Research Prof. K. Burke-Information Systems

#### **Temasek Defense Systems Institute Technical Teams**

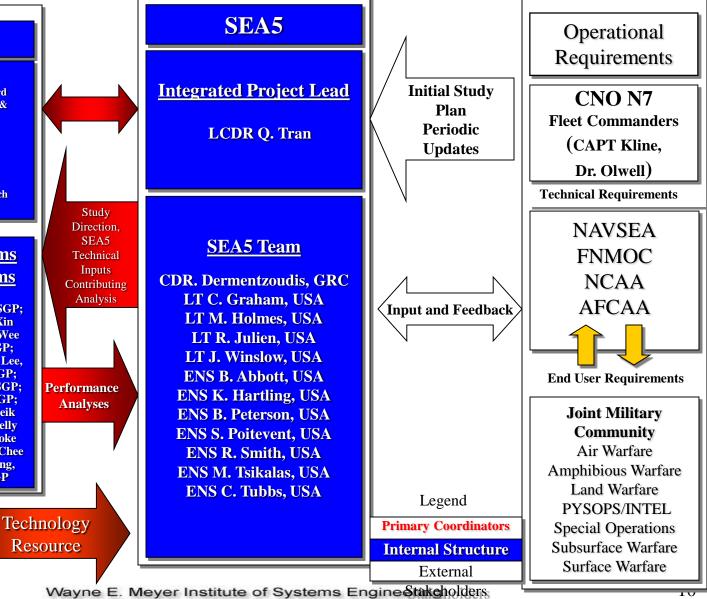
Berner, Andy USA; Chan, Chee Wai SGP; Cheak, Seck Fai SGP; Chen, Yuan Xin SGP; Cheng, Kah Wai SGP; Cheng, Wee Kiang SGP; Chow, Khin Choong SGP; Gonen, Ofer ISR; Koh, Jin Hou SGP; Lee, Kok Thong SGP; Lim, Kian Guan SGP; Monfore, Ken USA; Mui, Whye Kee SGP; Neo, Melvin SGP: Oh, Khoon Wee SGP: Ong, Chin Siang SGP; Phey, Khee Teik Augustine SGP; Poh, Seng Cheong Telly SGP; Quek, Yew Sing SGP; Seow, Yoke Wei SGP; Tan, Peng Soon SGP; Tay, Chee Bin SGP: Toh. Chee Hwee SGP: Wong. Chin Han SGP; Yong, Siow Yin SGP

Industry

Boeing

Lockheed Martin Northrop Grumman

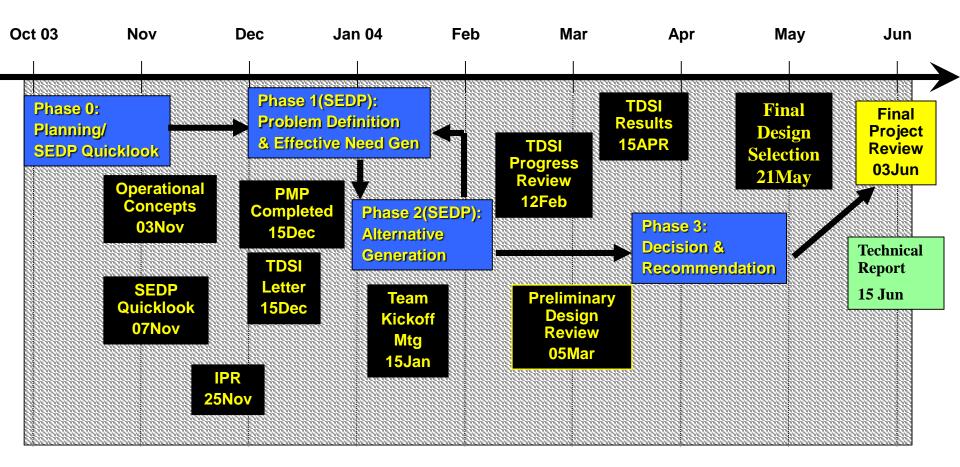
Raytheon





### **Project Schedule**





#### Major Phases

Completed Tasks

Today

#### Deliverable



### Effective Need



Develop a SoS Solution to Enable SEA BASING and SEA STRIKE by Providing Maritime Dominance in the Littoral Environment Through Cooperative Surveillance, Threat Analysis and Evaluation, Battle Management, and Engagement





### SoS Development

#### **ENS** Manny Tsikalas



# **Problem Definition**

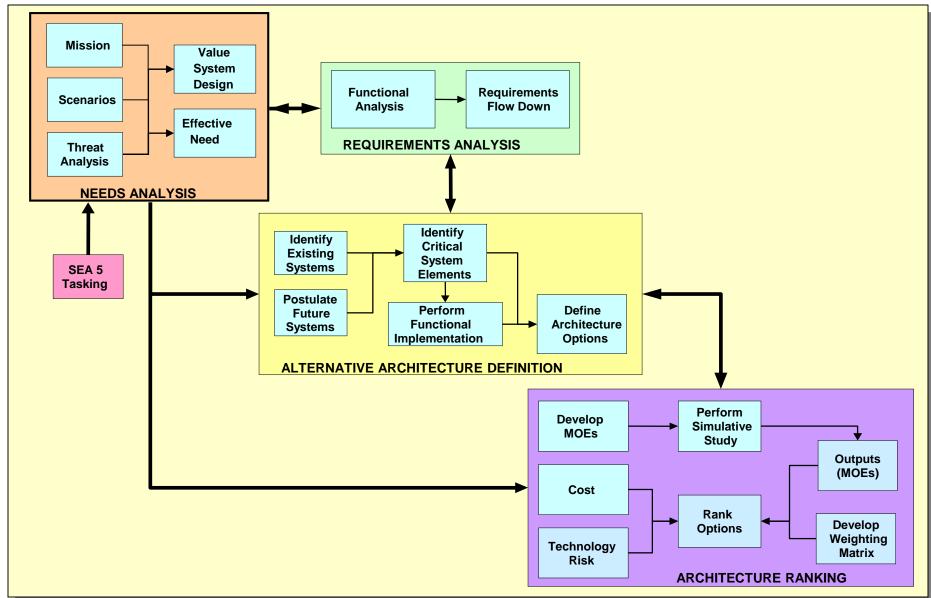


- Define and Select a Cost Effective System of Systems Architecture Consisting of Sea-Based, Land-Based, and Airborne Sensor and Weapon Systems that Are
  - Both Manned and Unmanned
  - In Existence, in Development, and Future Concepts
  - Networked Via Communication Links and Space
     Systems to Achieve Success of the Following
     Littoral Missions with Minimum Risk to Allied
     Personnel
    - Identification and, If Necessary, Reduction of Hostile Threats to Within Defensive Capability of the Sea Base
    - Enabling Projection of Offensive Capabilities From the Sea Base



# SoS Development Process



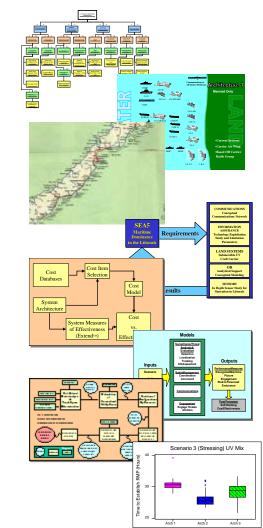




### SoS Development Overview



- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation







### Functional Analysis and Value Systems Design

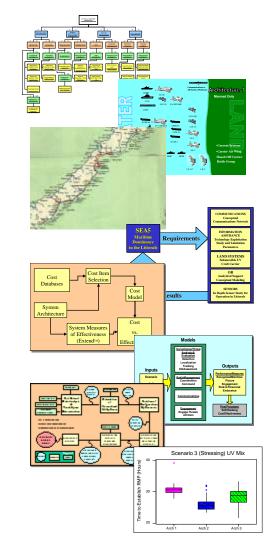
**ENS** Cavan Tubbs





# SoS Development

- Functional Analysis
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# Functional Analysis



- SoS Design Requires
  - Identification of Functions to be
     Performed in Support of
     Mission Accomplishment
  - Decomposition of Identified Functions
- Four-Level Depth Functional Decomposition Embodies SoS Functionality

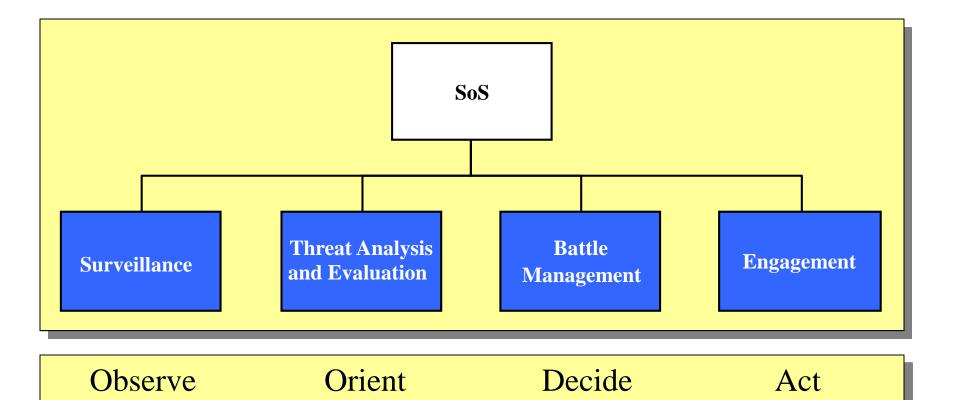






### Functional Hierarchy

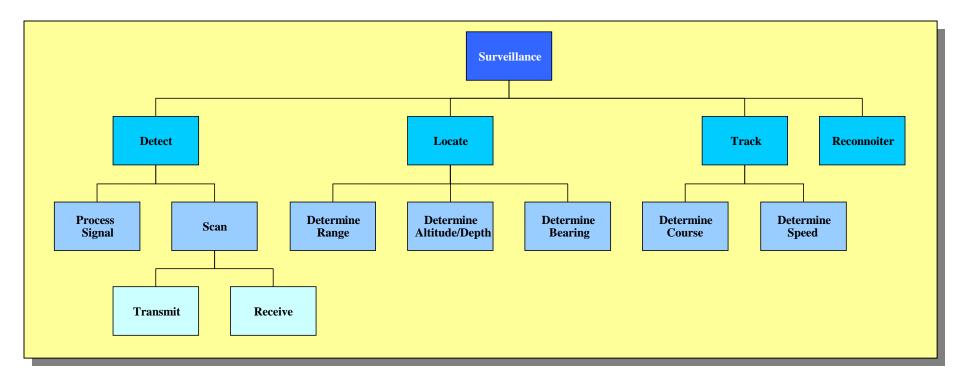








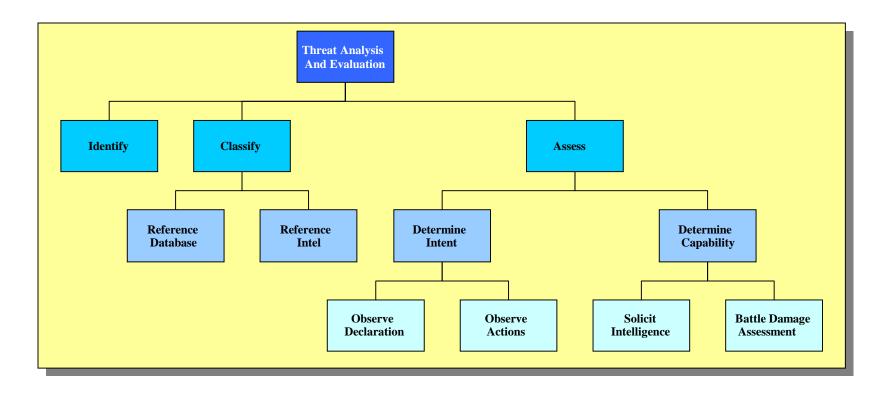
# Surveillance Functional Decomposition







### Threat Analysis & Evaluation Functional Decomposition





# BMC4I Functional Decomposition



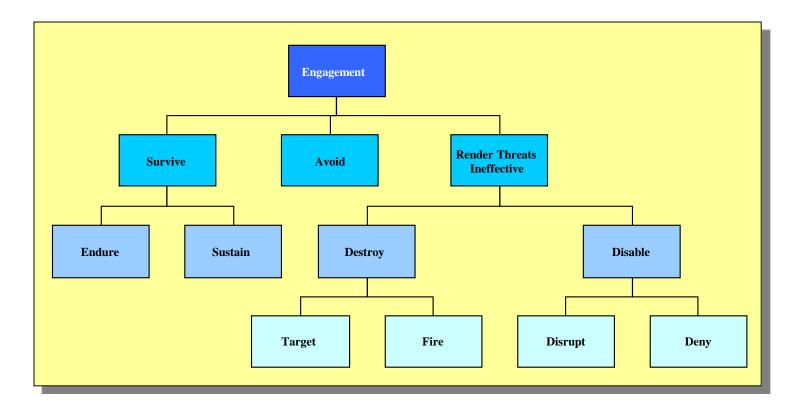
#### **Battle** Management Communication Command Transmit & Share Information Plan Task Report Information Receive Security Integrate Coordinate Data Data Direct Allocate **De-conflict** Fusion Processing Asset Assign Assignment Priority

### **Battle Management Means Battle Management, Command, Control, Communications, Computers, and Intelligence (BMC4I)**





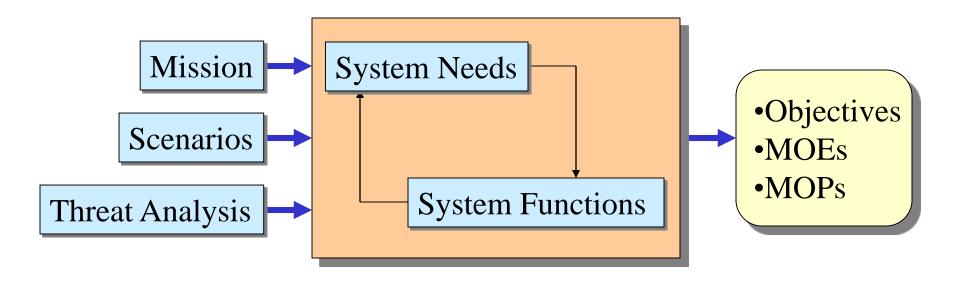
# Engagement Functional Decomposition







# Value Systems Design Implementation



Balance System Needs and Functions in Support of SoS Missions of Enabling SEA BASE and SEA STRIKE

MOE – Measure of Effectiveness

MOP – Measure of Performance



Functional Decomposition



| Surveillance Function |                             |  |  |
|-----------------------|-----------------------------|--|--|
| Objectives            | MOE                         | MOP  |  |
| Detection             | Coverage<br>Capability      | Average Time to Establish Complete Area<br>Coverage    |  |
|                       |                             | Ratio Area Covered / Total Search Area                 |  |
|                       |                             | Coverage Factor (Confidence)                           |  |
|                       | Probability of<br>Detection | Average System Probability of Detection                |  |
| Tracking              | Tracking<br>Capability      | Ratio Contact of Interest (COI) Tracked /<br>Total COI |  |
|                       |                             | Average Number of Visits per COI                       |  |





| <b>Threat Analysis &amp; Evaluation Function</b> |                            |   |  |
|--|----------------------------|---|--|
| Objectives                                       | MOE                        | MOP   |  |
| Identification                                   | ID Capability              | Ratio COIs Identified / Total COI                             |  |
|  | Probability of<br>False ID | Ratio of Incorrect Identifications / Total<br>Identifications |  |
| Minimize<br>Risk                                 | Reduced<br>Exposure to     | Ratio of Personnel Exposed to Risk / Total<br>Personnel       |  |
|  | Risk<br>Capability         | Ratio of Casualties / Total Personnel                         |  |



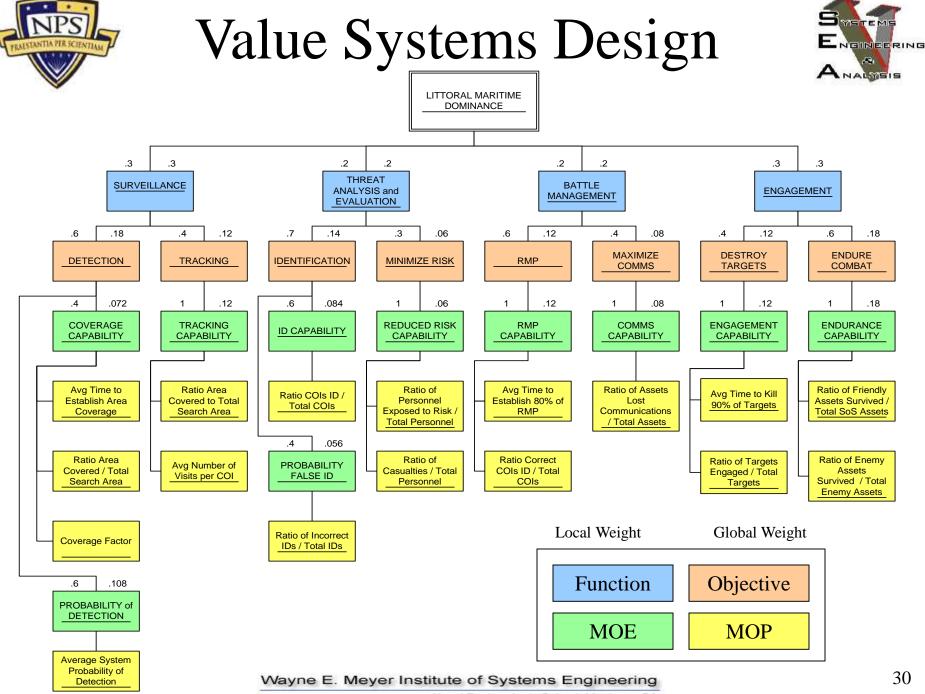


| <b>Battle Management Function</b> |                             |  |  |
|-----------------------------------|-----------------------------|--|--|
| Objectives                        | MOE                         | MOP  |  |
| Recognized<br>Maritime<br>Picture | RMP Capability              | Average Time to Establish 80% of<br>RMP<br>Ratio Correct COI IDs / Total COI |  |
| Maximize<br>Communication         | Communication<br>Capability | Ratio of Number of Assets Lost<br>Comms / Total Assets                       |  |





| <b>Engagement Function</b>  |   |   |  |
|-----------------------------|---|---|--|
| Objectives                  | MOE   | MOP   |  |
| Destroy/                    | Engagement<br>Capability                                  | Average Time to Kill 80% of Targets                 |  |
| Disable<br>Targets          |   | Ratio Targets Engaged / Total Targets               |  |
| Endure Endurance Capability | Ratio Friendly Assets Survived / Total<br>Friendly Assets |   |  |
|                             |   | Ratio Enemy Assets Survived / Total<br>Enemy Assets |  |



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#### Architectures

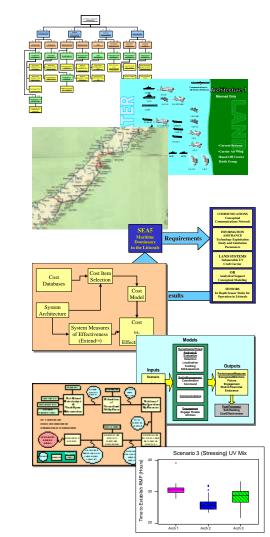
#### **ENS Bryan Peterson**





# SoS Development

- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation









- SoS Architecture Overview
- SoS Architecture Assumptions
- SoS Architecture Definition Process
- Functional Embedding
- UV Types and Functions
- Architectures
- Architecture Summary



### SoS Architecture Overview



- Ensured Gradual Increase of Unmanned Vehicles with Architectures
  - Manned Only (Architecture 1)
  - Balanced Hybrid (Architecture 2)
  - Primarily Unmanned (Architecture 3)
- Ensured Architecture 1 Consisted of Current Systems Only
- Accounted for 2020 Timeframe Technology
- Named Unmanned Vehicles According to Size and Functions



### SoS Architecture Assumptions

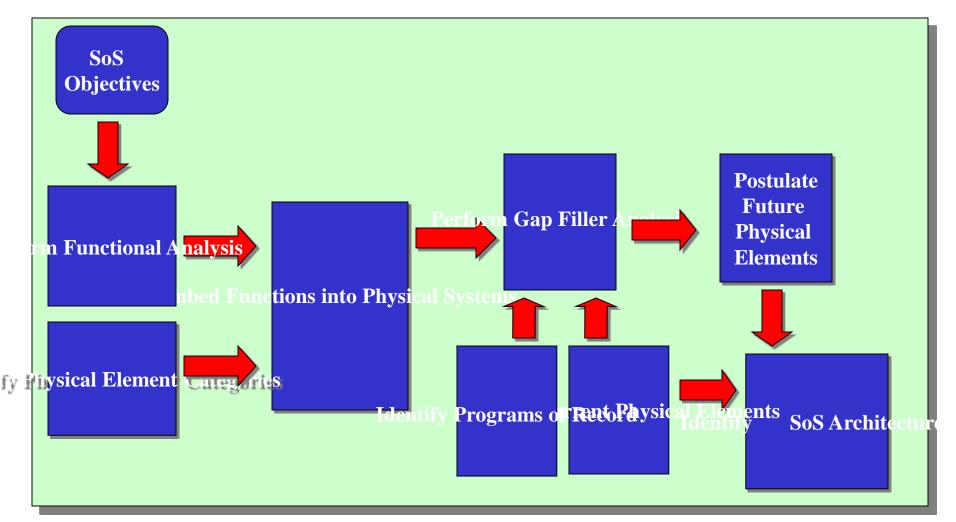


- Manned Systems Still Required For Air to Air Combat in 2020 Timeframe
- Carrier-Launched and Recovered Medium-Sized UAVs Exist
  - Number of UAVs Determined by Size and Space Available on Carrier
- Availability of Postulated Systems in 2020 Timeframe
  - DDX, CGX, LCS, etc.



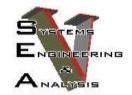
### SoS Architectures Definition Process







### Functional Embedding



|  |                    | Platforms |     |       |      |        |       |                           |     |       |      |       |       |            |          |
|--|--------------------|-----------|-----|-------|------|--------|-------|---------------------------|-----|-------|------|-------|-------|------------|----------|
| Functions  |                    | S-3       | P-3 | EA-6B | AH-1 | B-2    | F-14  | F/A-18                    | JSF | C-2   | E-2C | MH-53 | SH-60 | Strike UAV | Surv UAV |
| Surveillance                                       | Detection          | X         | X   |       |      |        |       |                           |     |       | X    | X     | X     |            | Χ        |
|  | Tracking           | X         | X   |       |      |        |       |                           |     |       | X    |       | X     |            | X        |
| Threat<br>Analysis and<br>Eval                     | ID Targets         | X         | X   |       |      |        | X     | X                         | X   |       | X    |       | X     | X          | X        |
|  | Minimize<br>Risk   |           |     |       |      |        |       |                           |     |       |      |       |       | X          | X        |
| Battle<br>Management                               | RMP                | X         | X   |       |      |        |       |                           |     |       | X    | X     | X     |            | X        |
|  | Max Comms          |           |     |       |      |        |       |                           |     |       |      |       |       | X          | Χ        |
| Engagement   | Destroy<br>Targets | X         | X   | X     | X    | X      | X     | X                         | X   |       |      |       | X     | X          |          |
|  | Endure<br>Combat   |           |     | X     | X    | X      | X     | X                         | X   |       |      |       |       | X          |          |
| Architecture 1<br>Architecture 2<br>Architecture 3 |                    |           |     |       | A    | rchite | cture | all Arc<br>1 anc<br>2 anc | 12  | tures | Ī    |       |       |            |          |

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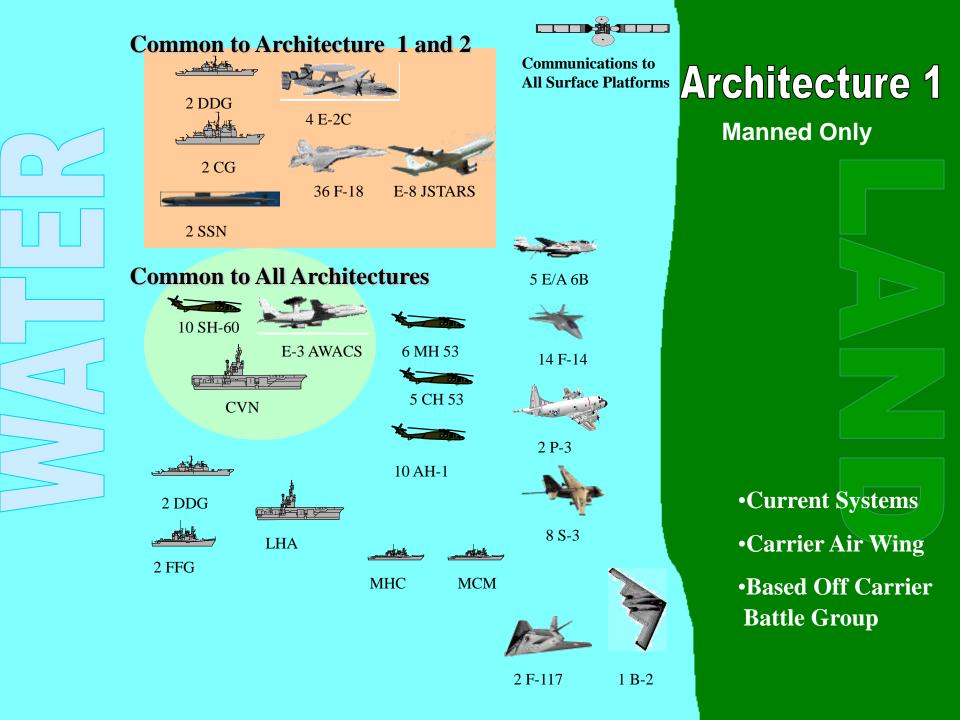
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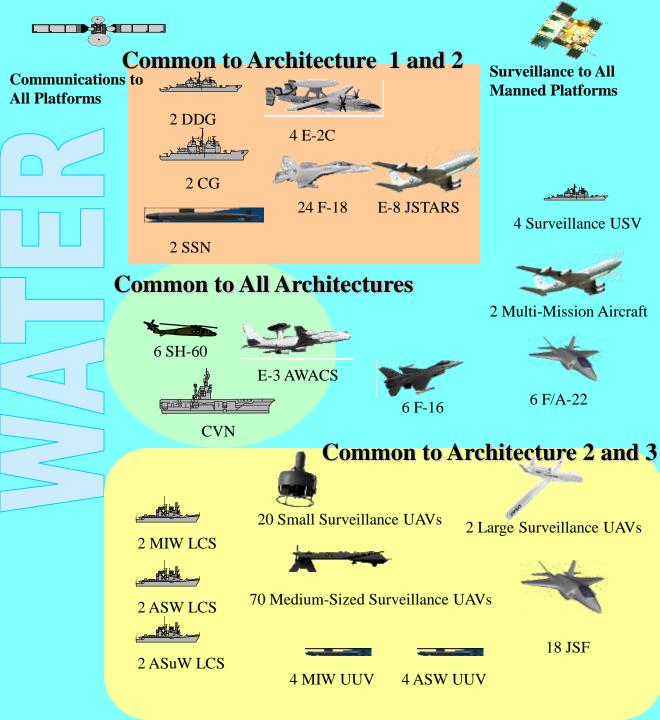


#### Unmanned Vehicle Types and Functions

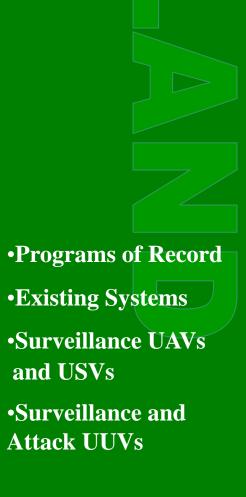


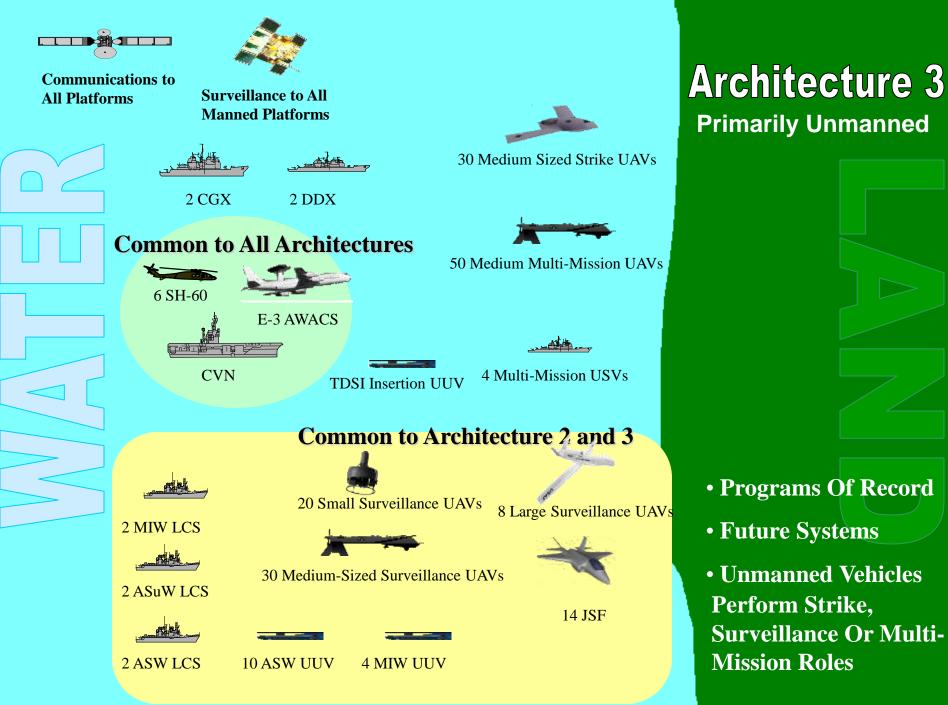
| Unmanned Vehicle Type          | Sensors/Weapons/Functions              |
|--------------------------------|--|
| Large Surveillance UAV         | Air/Surface Search Radar               |
| Medium-Sized Surveillance UAV  | TDSI FOPEN Radar, Infrared (IR) Sensor |
| Medium-Sized Strike UAV        | Harpoon, JSOW                          |
| Medium-Sized Multi-Mission UAV | TDSI FOPEN Radar, Hellfire             |
| Small Surveillance UAV         | IR Sensor                              |
| Mine Warfare UUV               | Sonar                                  |
| Anti-Submarine Warfare UUV     | Sonar, Torpedo                         |
| Unmanned Vehicle Insertion UUV | TDSI Unmanned Insertion Vehicle        |
| Surveillance USV               | Surface Search                         |
| Multi-Mission USV              | Surface Search, Hellfire               |





#### Architecture 2 Balanced Hybrid







### Architecture Composition

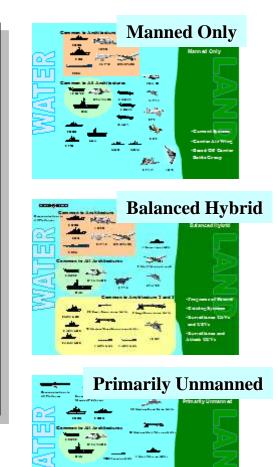


| MANNED ONLY (ARCH 1) | BALANCED HYBRID (ARCH 2)                | PRIMARILY UNMANNED (ARCH 3)       |  |  |  |  |
|----------------------|---|-----------------------------------|--|--|--|--|
| 1 CVN                | 1 CVN                                   | 1 CVN                             |  |  |  |  |
| 10 SH-60             | 6 SH-60                                 | 6 SH-60                           |  |  |  |  |
| 1 E-3 AWACS          | 1 E-3 AWACS                             | 1 E-3 AWACS                       |  |  |  |  |
| 2 CG                 | 2 CG                                    | 2 CGX                             |  |  |  |  |
| 4 DDG                | 2 DDG                                   | 2 DDX                             |  |  |  |  |
| 2 SSN                | 2 SSN                                   | 1 INSERTION UUV                   |  |  |  |  |
| 4 E2-C               | 4 E2-C                                  | 4 MULTI-MISSION USV               |  |  |  |  |
| 36 F/A-18            | 24 F/A-18                               | 30 MEDIUM-SIZED STRIKE UAV        |  |  |  |  |
| 1 E-8 JSTARS         | 1 E-8 JSTARS                            | 50 MEDIUM-SIZED MULTI-MISSION UAV |  |  |  |  |
| 2 P-3                | 6 LCS                                   | 6 LCS                             |  |  |  |  |
| 5 CH-53              | 4 MIW UUV                               | 4 MIW UUV                         |  |  |  |  |
| 6 MH-53              | 4 ASW UUV                               | 10 ASW UUV                        |  |  |  |  |
| 14 F-14              | 18 JSF                                  | 14 JSF                            |  |  |  |  |
| 8 S-3                | 2 LARGE SURVEILLANCE UAVS               | 8 LARGE SURVEILLANCE UAVS         |  |  |  |  |
| 5 E/A-6B             | 70 MEDIUM-SIZED SURVEILLANCE UAVS       | 30 MEDIUM-SIZED SURVEILLANCE UAVS |  |  |  |  |
| 10 AH-1              | 20 SMALL SURVEILLANCE UAVS              | 20 SMALL SURVEILLANCE UAVS        |  |  |  |  |
| 1 B-2                | 6 F/A-22                                |                                   |  |  |  |  |
| 2 B-52               | 2 MULTI-MISSION MARITIME AIRCRAFT (MMA) |                                   |  |  |  |  |
| 2 F-117              | 2 SSGN                                  |                                   |  |  |  |  |
| 2 FFG                | 4 SURVEILLANCE USV                      |                                   |  |  |  |  |
| 1 MHC                | 6 F-16                                  |                                   |  |  |  |  |
| 1 MCM                |   |                                   |  |  |  |  |
| 1 LHA All Arcl       | nitectures Arch1 and Arch 2 A           | Arch 2 and Arch 3                 |  |  |  |  |





- Three Architectures With Progressing Reliance on UVs
  - Architecture 1: Manned Only
  - Architecture 2: Balanced Hybrid
  - Architecture 3: Primarily Unmanned
- Architecture Effectiveness Modeled in Simulative Study Against Test Scenarios











#### Threats & Scenarios

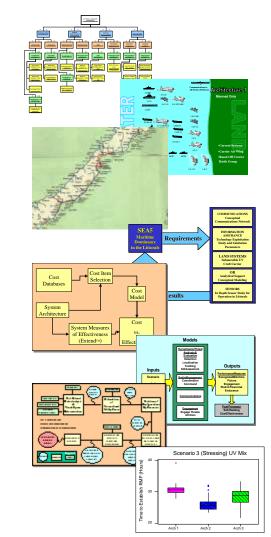
LT Matt Holmes





# SoS Development

- Functional Analysis
- Value Systems Design
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- Architecture Ranking
- Configuration Validation









- Joint Campaign Analysis
- South China Sea Scenario
- Scenario Development Criteria
- Tactical Scenarios







## JCA Referenced US Force Composition Criteria

- Joint Campaign Analysis as Point of Reference for Scenario Analysis
- Warfare Threats to NESG Prioritized
  - ASCM
  - -ASW
  - -MIW
  - -ASuW
- JCA Study Format
  - Officers 📀
  - Baseline Architecture
  - Lanchester Attrition Models
  - Larger Group Broken Into Mission Groups
  - Estimate of SoS Baseline Architecture Performance vs. Threat





## South China Sea Scenario





- PRC Warship Strafed by Philippines Fighter
- PRC Naval Blockade of Puerta Princessa
  - Historical Rights and Economic Requirements
  - Need to Establish Safety Perimeter Around South China Sea
- PRC Reinforcement of Presence in the Spratly Islands
  - Paved Runways
  - Pier and Maintenance Facilities
  - ADA Batteries and Ballistic Missile Sites.
- PRC Invasion of Kepulauan Natuna (Indonesia)
- PRC Invasion of Palawan After a 30-day Blockade
  - Land, Air, Sea, and Missile Forces Moved to Island



### Scenario Criteria



**PRC Invasion Force** 

Aircraft 735 Surface 79 **3 SOVREMMENY DDG** 1 CV + 30 SU - 3055 DDG, FFG, & PGM Subsurface 21 5 Type 091/093 SSN 15 Diesel SS (4 Kilo) MARDIV ARTDIV **INFDIV** 7\*

\*3 Additional Reserve (Guangzhou)

No Heavy Armor Division Light Armor Units With MANPADS

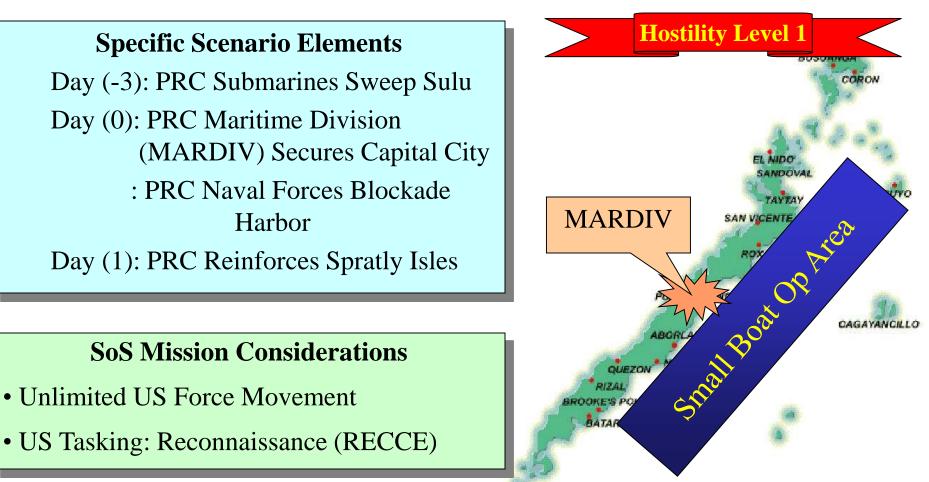
- Tactical Littoral Environments
- Scenario Definition Guided By Complexity
  - Mission
  - Enemy Force Structure
  - Level of Hostility

| Scenario  | Enemy      | Conflict | Escalation |
|-----------|------------|----------|------------|
| Benign    | Neutral    | Unlikely | Unlikely   |
| Nominal   | Aggressive | Medium   | Low        |
| Stressing | Hostile    | High     | Medium     |



### Scenario 1 - Benign





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BALABAC



### Scenario 2 - Nominal



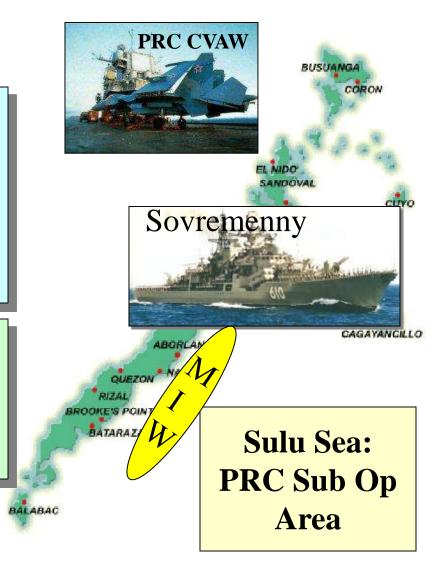
#### Hostility Level 2

#### **Specific Scenario Elements**

Day (2): PRC Artillery/Inf. FWD Staged PRC Fortifies Palawan Airport Day (3): PRC Naval Forces Mine Harbor PRC TU-16s Begin Maritime Patrol Day (12): PRC Reinforces Naval Presence

#### **SoS Mission Considerations**

- Restricted US Movement Outside 12 nm
- US Forces Actively Tracked
- US Tasking: RECCE and Targeting





### Scenario 3 - Stressing



#### Hostility Level 3

Day (13): PRC MARDIV Fortifies Puerta Princessa Day (15): PRC INFDIV Disperse Into Terrain PRC Air Corps Commence Aggressive Patrols

Day (16): SOVREMENNY Steam to North Rendezvous Subs Deploy to Surf/Sub-surf Operating Areas

Day (18): PRC Surface Fleet Patrol/Interdict SSOA2

#### **SoS Mission Considerations**

- Enemy Hostile (Active Patrol Zones)
- Denial of US Assets to Littoral Region
- US Tasking: RECCE, Targeting, and Strike

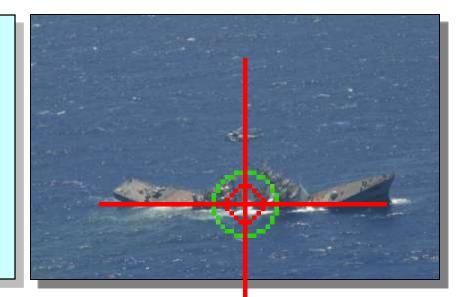




# Threats & Scenarios Summary



- Quantifying Capability vs. Risk
- Building the Operating Environment
- Identifying Future Threats
- Evaluating SoS Performance with Scenarios







### **TDSI** Integration

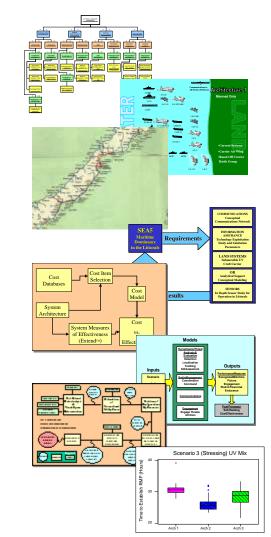
#### **ENS Kara Hartling**





# SoS Development

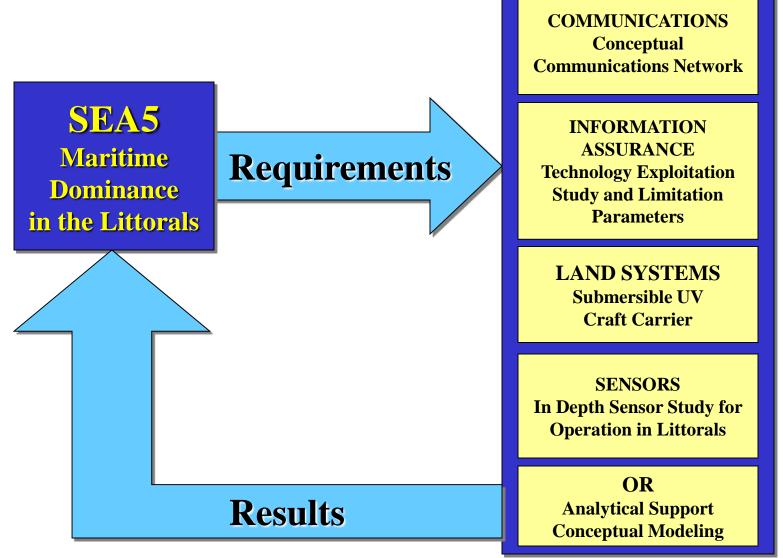
- Functional Analysis
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#### **TDSI Requirements Process**

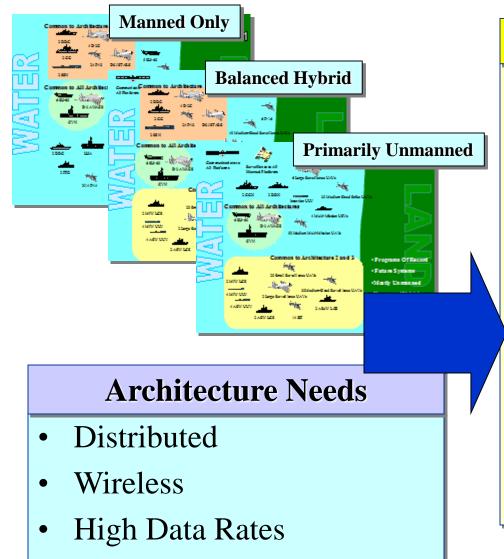






## **Communications Track**





#### **Comms Outputs**

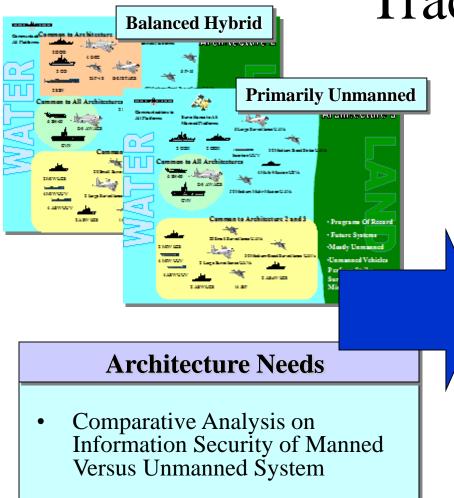
- Developed a Conceptual Inter-platform Communications Network
- Provided Interoperability and Bandwidth Constraints
  - Focused on Emerging Technologies such as
    - Mobile *ad hoc* Networking
    - Adaptive Communication
       Software for Multi-platform
       System Interoperability
       (Software Defined Radio)

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## Information Assurance Track





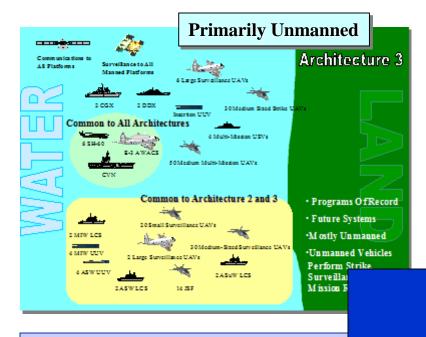
#### **Information Assurance Outputs**

- Performed Information Security Study on Means of Securing and Authenticating UV Communications
- Defined Inherent Organic Capabilities of UVs That Could Be Exploited
- Defined Ways to Minimize Enemy Exploitation of Captured UVs



## Land Systems Track





#### **Architecture Needs**

• Link Blue Water Platforms with Littoral Platforms (Long Range UV Insertion)

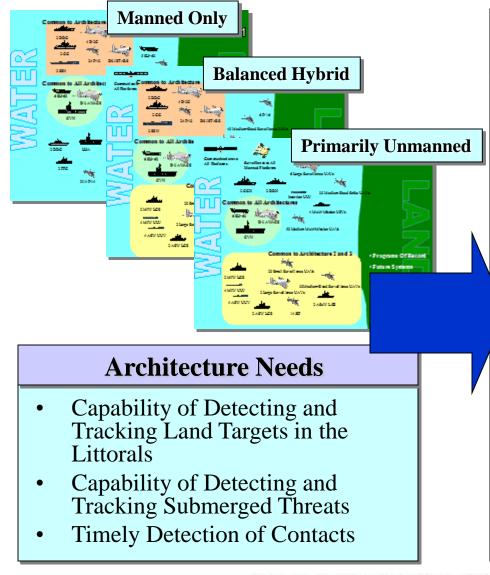
#### Land Systems Outputs

- Designed UV Craft Carrier
  - Submersible
  - Deployed from Surface Platform
  - Capable of Deploying and Recovering Mini UVs
  - Multi Mission Capable (MIW, ASW)
  - Extended Reach into Littorals



## Sensors Track





#### **Sensors Outputs**

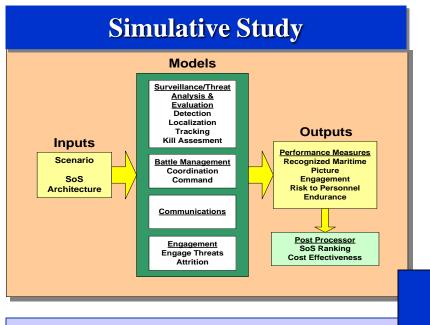
- Performed In-depth Environmental Analysis of Littorals
- Defined Requirements for Sensor Network to Detect Land Based Anti-Access Defensive Systems (FOPEN)
- Determined Means to Maximize Probability of Detection of Submerged Threats
- Developed Approaches to Detect Contacts That Operate on and Above the

Wayne E. Meyer Institute of Systems Engineering Timely Manner



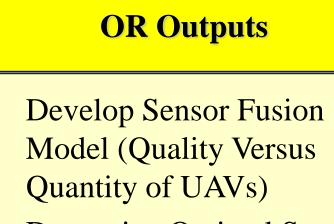
**Operations Research Track** 





#### Modeling/Simulation Needs

- Performance Analysis
- Analytical Support for TDSI Tracks



- Determine Optimal SearchPatterns for UAVs
- Determine Optimal Number of Comms Nodes for Undersea Network
- Provide Support to TDSI Tracks

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#### **TDSI** Inputs to Integrated Project



**COMMUNICATIONS Conceptual Communications** Network

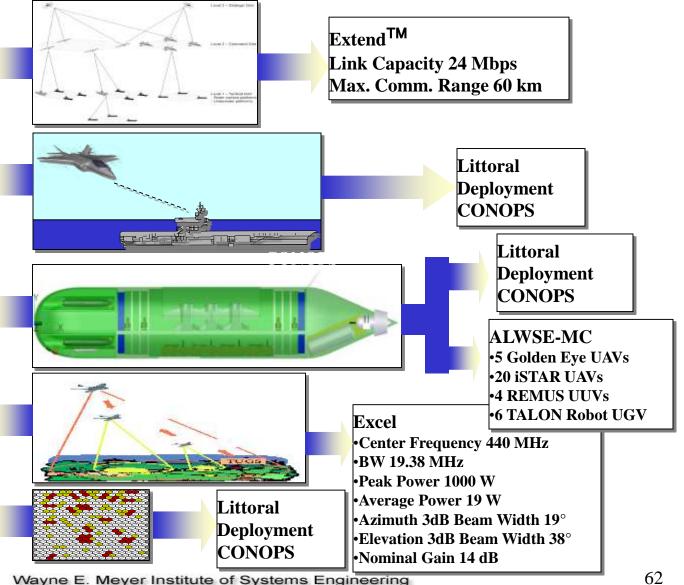
#### **INFORMATION** ASSURANCE

**Technology Exploitation Study** and Limitation Parameters

> LAND SYSTEMS Submersible UV Craft Carrier

**SENSORS** In Depth Sensor Study for **Operation in Littorals** 

OR Analytical Support Conceptual Modeling



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### Cost Analysis

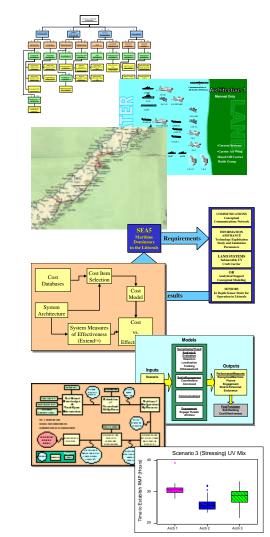
LT Rene Julien





# SoS Development

- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation







# Cost Analysis Preview

- Results
- Assumptions
- Methodology
- Process
- Data Collection
- Tools





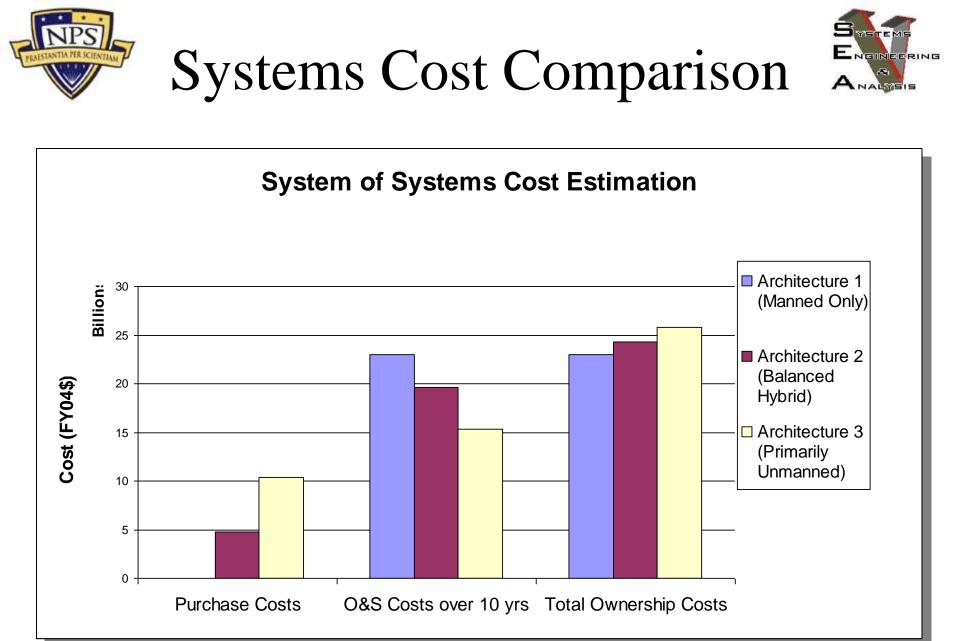




|                                | Cost in FY04\$B      |                 |       |  |  |  |  |
|--------------------------------|----------------------|-----------------|-------|--|--|--|--|
| Architecture                   | <b>Purchase Cost</b> | <b>O&amp;S*</b> | TOC** |  |  |  |  |
| Manned Only<br>(Arch 1)        | 0                    | 1.53            | 23    |  |  |  |  |
| Balanced Hybrid<br>(Arch 2)    | 4.7                  | 1.34            | 24.3  |  |  |  |  |
| Primarily Unmanned<br>(Arch 3) | 10.4                 | 1.13            | 25.8  |  |  |  |  |

\* Per 1-year Basis

**\*\*** Per 10-year Basis Including Inflation







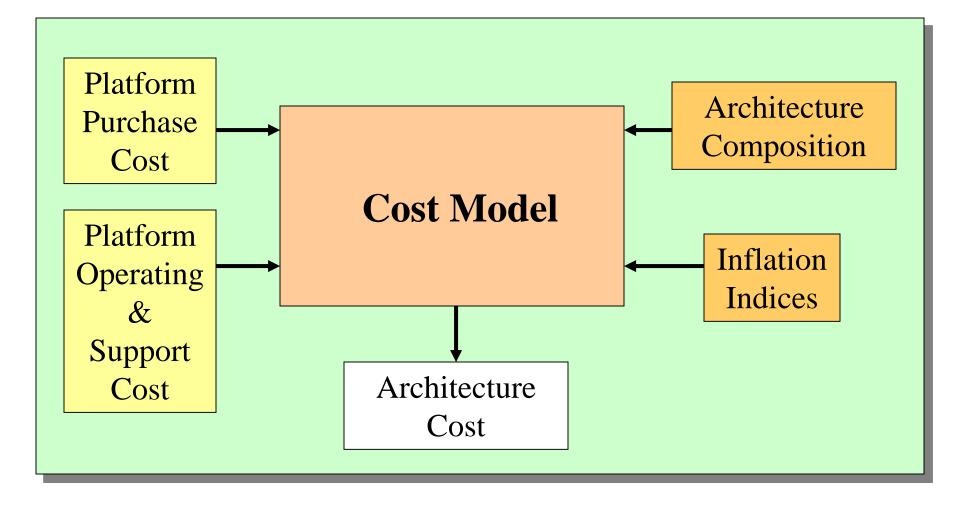
Platform Cost Assumptions

- Fiscal Year Estimates
  - Not Available From Open Sources
  - Based on Proprietary Sources
- Future Manned and Unmanned Systems Equivalent in Cost to Manned Systems
  - UAV2-1 Cost Equivalent to E-2C
  - F-35 (Joint Strike Fighter) Based on F/A-18F O&S Data
- Current UAV O&S Costs Approximately 10% of Manned Equivalents
  - Based on Air Force Predator O&S Costs





#### Cost Process Methodology







# Cost Estimation Methodology

- All O&S Costs in FY2003 From VAMOSC, AFTOC and OSMIS Databases
- Costs for Future Systems (i.e., UVs and (X) Ships) Estimated Using Analogy Technique
- Derivation of Proposed Future System Unit Cost Using Cost Factors
  - Complexity
  - Miniaturization
  - Productivity Improvement



# **Cost Organizations**



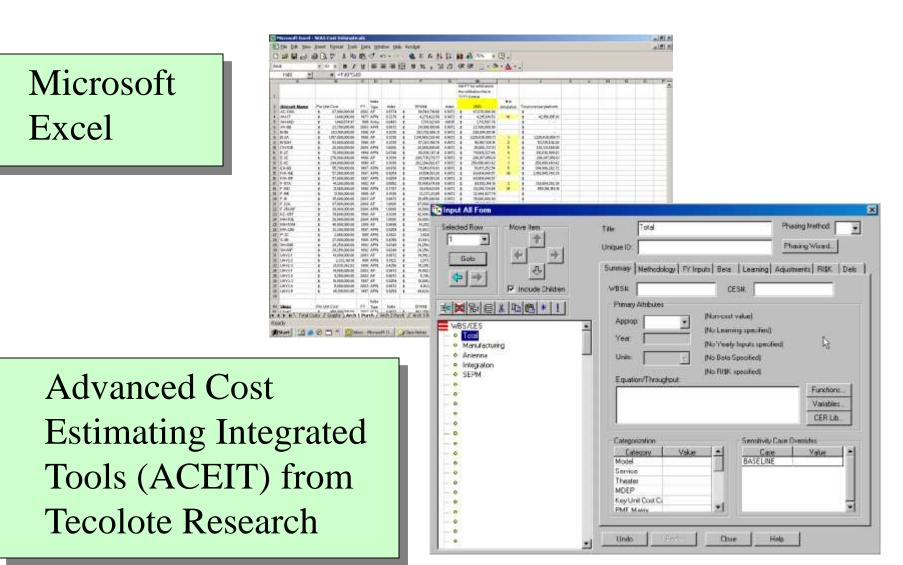
- Navy Center for Cost Analysis (NCCA)
- Air Force Cost Analysis Agency (AFCAA)
- US Army Cost and Economic Analysis Center (USACEAC)
- Defense Cost and Research Center (DCARC)
- Tecolote Research (ACEIT Software)





#### **Cost Estimation Tools**









## Simulative Study

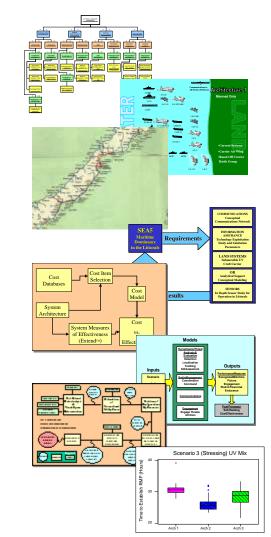
#### **ENS Bryce Abbott**

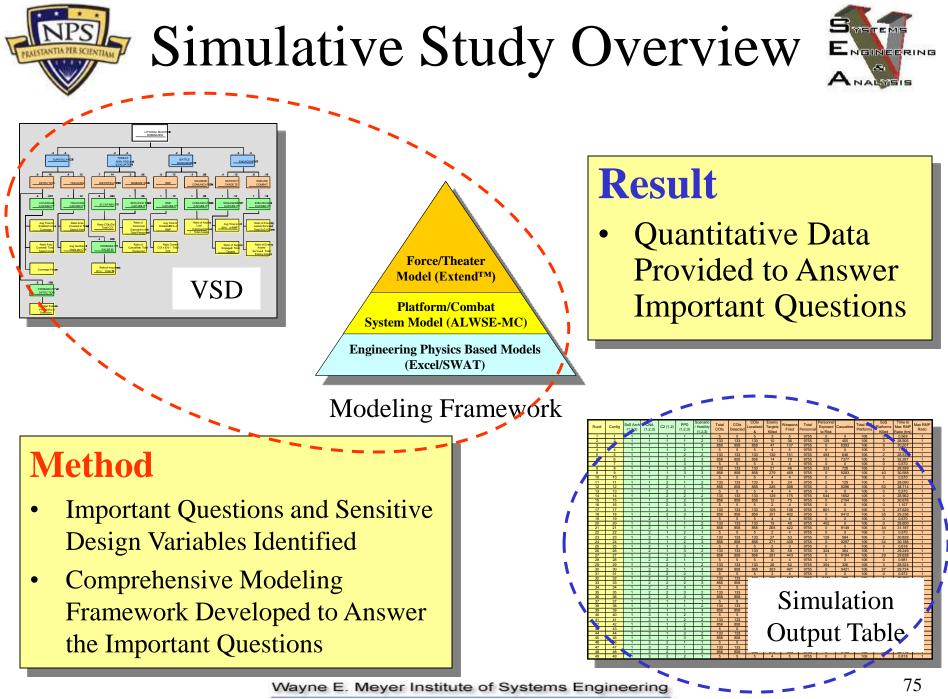




# SoS Development

- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation





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#### Simulative Study

- Objective
- Design
- Modeling
   Framework
- Modeling Tools
- Modeling Output







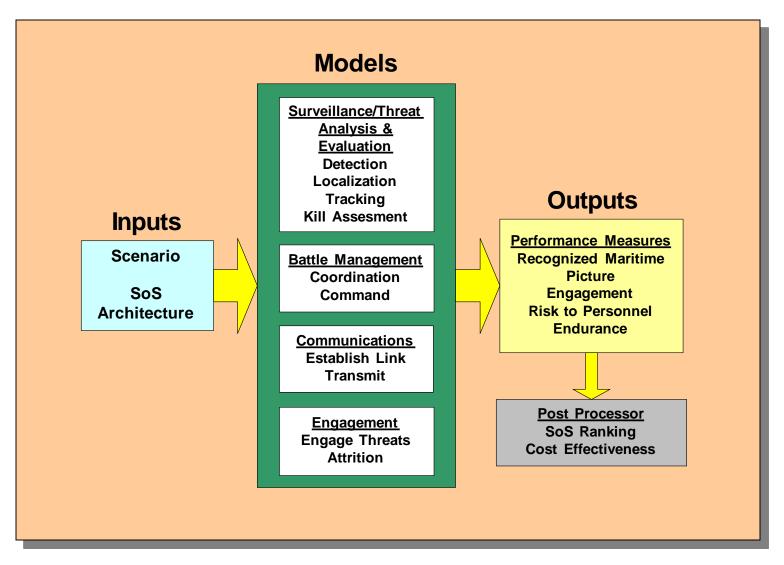
# Simulative Study Objective

- Conduct a Simulative Monte Carlo Analysis to Quantify the Effectiveness of Alternative SoS Architectures by Answering
  - How Much Time Does the SoS Require to Establish the Recognized Maritime Picture?
  - How Well Does the SoS Engage Threats?
  - How Well Does the SoS Protect Personnel From Risk?
  - How Well Does the SoS Endure Combat?



## Simulative Study Design





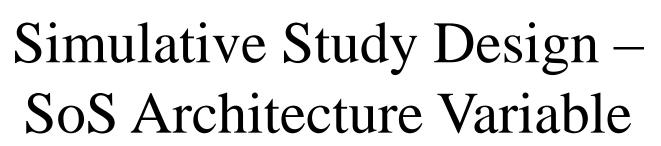


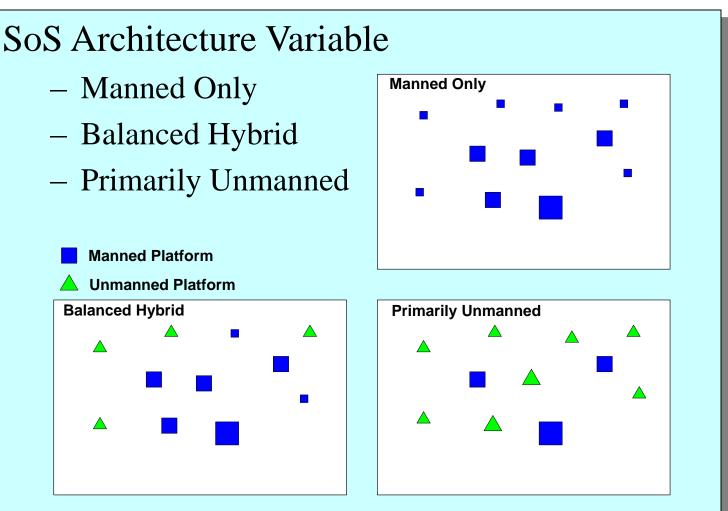


# Simulative Study Design Variables

- SoS Architecture
  - Communications Network Architecture
  - Command and Control
  - Platform Physical Distribution
- Scenario







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ANALISIS



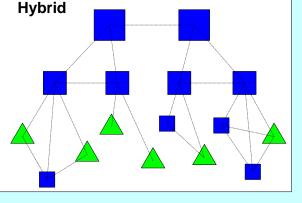


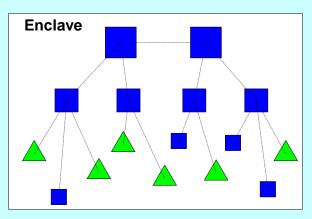


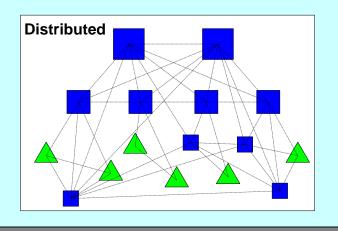
#### Communications Network Architecture (CNA)

- Enclave
- Hybrid
- Distributed





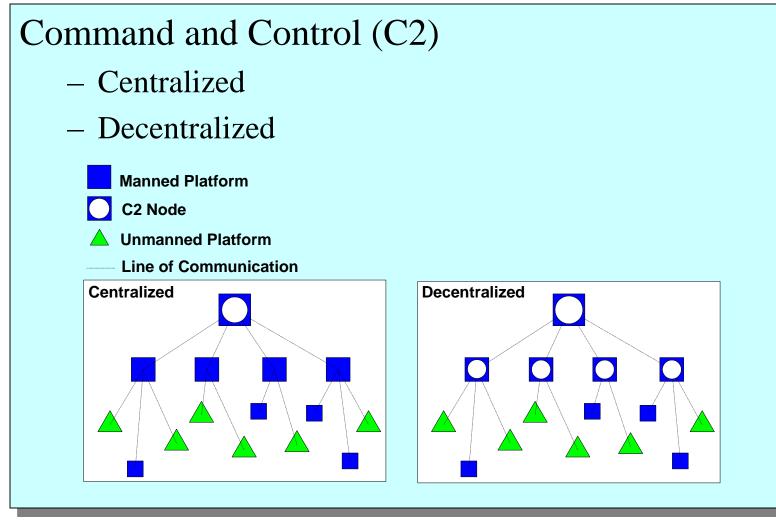








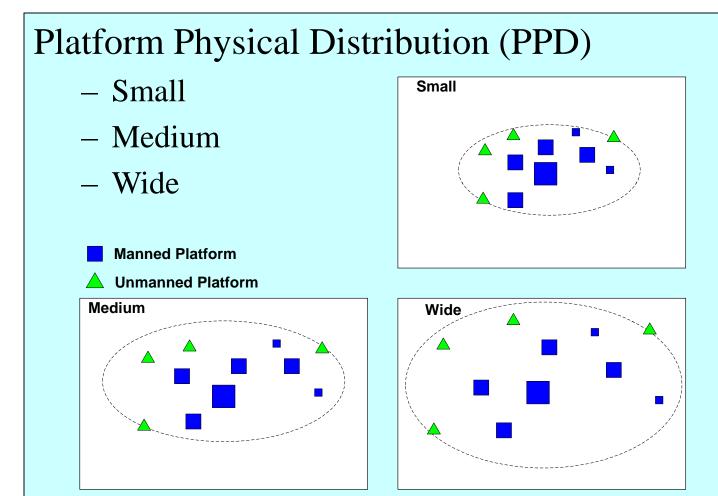
# Simulative Study Design -C2 Variable







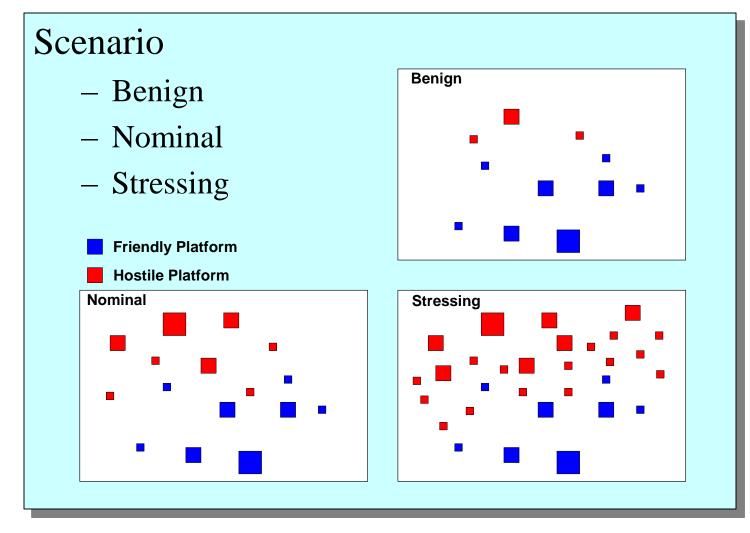
# Simulative Study Design -PPD Variable







## Simulative Study Design -Scenario Variable





### Modeling Framework



Lower Levels Interface With & Support Upper Levels

**Force/Theater** Model (Extend<sup>TM</sup>)

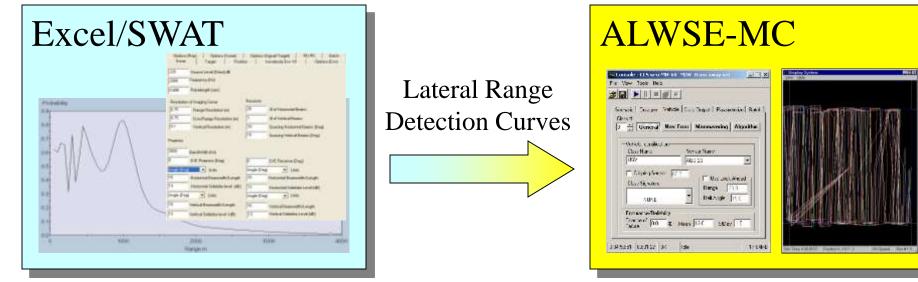
\$10th of People's **Platform/Combat** System Model (ALWSE-MC)

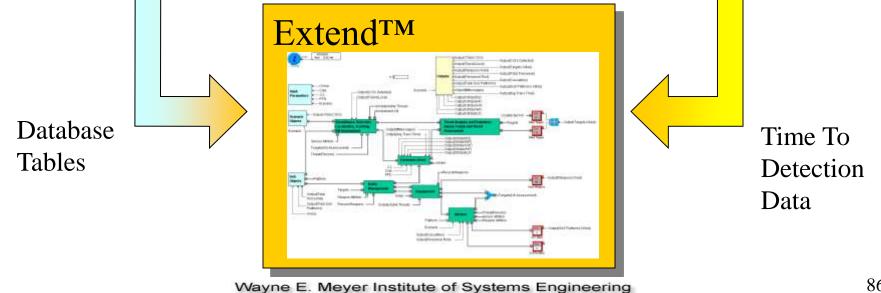
#### **Engineering Physics Based Models** (Excel/SWAT)



### Modeling Tools Interface





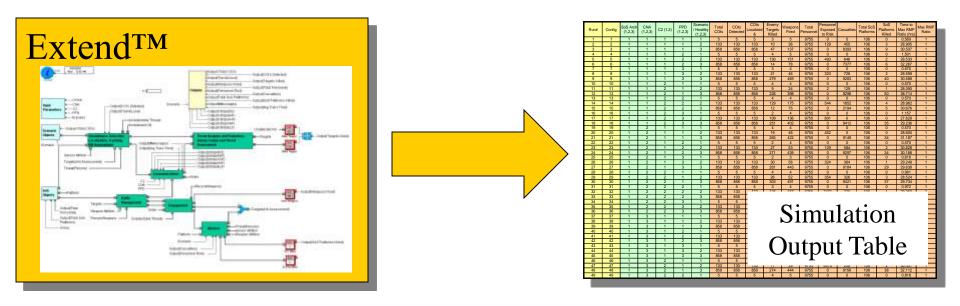


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### Modeling Output



#### Quantitative Data Provided to Fulfill Simulative Study Objective





# Engineering Physics Models (Excel/SWAT)

**ENS Scott Poitevent** 





#### Modeling Framework

Force/Theater Model (Extendтм)

#### Platform/Combat System Model (ALWSE-MC)

#### Engineering Physics Based Models (Excel/SWAT)



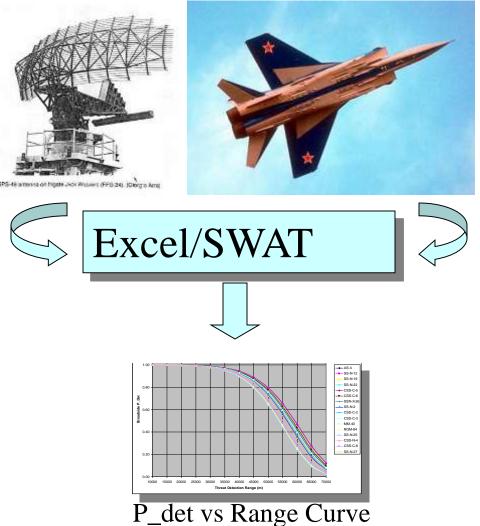




#### Sensor



- Provide Flexible Tool for Detection Simulation with Sensor/Target Pairs
- Implement Physical Laws for Analytical Application
- Generate P\_det vs Range Curves





# Engineering Analysis Models (Excel/SWAT)



- Engineering Physics Based Modeling Performed to Create Database Tables and Lateral Range Detection Curves for Sensors / Threats Pairs
- Sensor-Target Models
  - Probability of Detection (P\_det) vs Range Curves
- Physics Models\*
  - Radar Based on Swerling II
  - Acoustic Based on Manning P\_det
  - Infrared (IR) Based on Johnson's Criteria

\*R. Harney, *Combat Systems Sensors Vol. I & II*, Naval Postgraduate School 2004, Unpublished Manuscript



# **Engineering Model Inputs**



#### • Sensor Parameters

- TDSI FOPEN Radar
   Performance Parameters
- Specific Enemy Threat Characteristics From Scenario
- Environmental Parameters

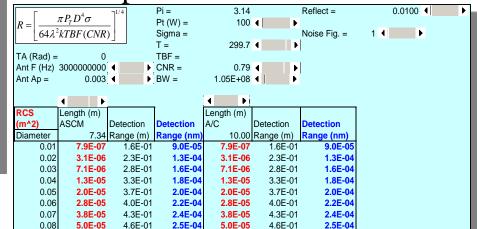
| km/hr | m/s | Mach   | km/hr | m/s | Mach |
|-------|-----|--------|-------|-----|------|
| 720   | 200 | 0.6061 | 1,098 | 305 | 0.92 |
| 738   | 205 | 0.6212 | 1,116 | 310 | 0.93 |
| 756   | 210 | 0.6364 | 1,134 | 315 |      |
| 774   | 215 | 0.6515 | 1,152 | 320 | 0.96 |
| 792   | 220 | 0.6667 | 1,170 | 325 | 0.98 |
| 810   | 225 | 0.6818 | 1,188 | 330 | 1.00 |
| 828   | 230 | 0.6970 | 1,206 | 335 | 1.01 |
| 846   | 235 | 0.7121 | 1,224 | 340 | 1.03 |
| 864   | 240 | 0.7273 | 1,242 | 345 | 1.04 |
| 882   | 245 | 0.7424 | 1,260 | 350 | 1.0  |
| 900   | 250 | 0.7576 | 1,278 | 355 | 1.0  |
| 918   | 255 | 0.7727 | 1,296 | 360 | 1.0  |
| 936   | 260 | 0.7879 | 1,314 | 365 | 1.1  |
| 954   | 265 | 0.8030 | 1,332 | 370 | 1.1  |
| 972   | 270 | 0.8182 | 1,350 | 375 | 1.1  |
| 990   | 275 | 0.8333 | 1,368 | 380 | 1.1  |
| 1,008 | 280 | 0.8485 | 1,386 | 385 | 1.1  |
| 1,026 | 285 | 0.8636 | 1,404 | 390 | 1.1  |
| 1,044 | 290 | 0.8788 | 1,422 | 395 | 1.1  |
| 1,062 | 295 | 0.8939 | 1,440 | 400 | 1.21 |
| 1,080 | 300 | 0.9091 | 1,458 | 405 | 1.22 |

**IR** Input Table

| Reflectance =                         | 0.0100     | 4 |
|---------------------------------------|------------|---|
| Emissivity =                          | 0.9900     |   |
| Pi =                                  | 3.14       |   |
| TA Radians =                          | 0          |   |
| Sensor Freq(M) =                      | 3.0E+09    | • |
|                                       |            |   |
|                                       |            |   |
|                                       |            |   |
| Regd CNR                              | 0.69       | 4 |
| Power (watts)                         | 3.0000E+09 |   |
| Aperture Diameter (m)                 | 4.000      | 4 |
| Bandwidth (Hz)                        | 1.00E+08   | 4 |
| Freq (Hz)                             | 3.00E+09   |   |
| Noise figure                          | 1          | 4 |
| Antenna Temp (K)                      | 300        | 4 |
| · · · · · · · · · · · · · · · · · · · |            |   |
|                                       |            |   |
|                                       |            |   |
|                                       |            |   |

| Generic Threat Categories |            |              |              |            |                |              |            |                 |            |
|---------------------------|------------|--------------|--------------|------------|----------------|--------------|------------|-----------------|------------|
| Threat                    | Length (m) | Diameter (m) | Reflectivity | RCS (m^2)  | Body temp. (K) | Engine temp. | Emmisivity | Target angle (r | IR Area (m |
| ASCM-1                    | 3.75       | 0.42         | 0.1          | 0.0138474  | 351.894        | 1000         | 0.9900     |                 | 0.137089   |
| ASCM-2                    | 8.9        | 0.67         | 0.1          | 0.03523865 | 675            | 1000         | 0.9900     |                 | 0.348862   |
| ASCM-3                    | 11.6       | 0.92         | 0.1          | 0.0664424  | 1800           | 1000         | 0.9900     |                 | 0.657779   |
| Ambient temp (K)          | 300        |              |              |            |                |              |            |                 |            |
|                           |            |              |              |            |                |              |            |                 |            |

#### **RF** Input Table



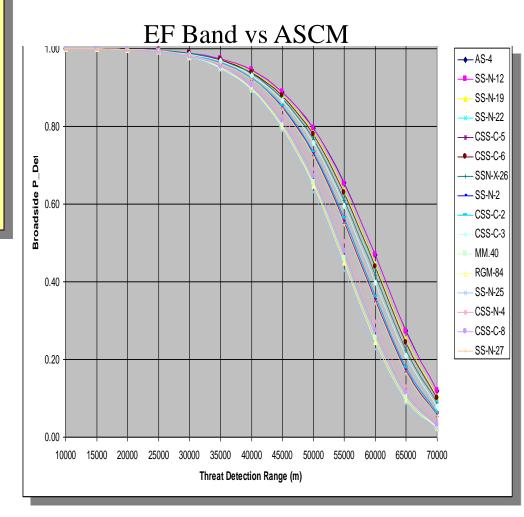




## Engineering Model Outputs

- Threat Signatures (Radar, IR, Acoustic)
- P\_det vs. Range for Sensor-target Pairings





#### Threat Signatures Wayne E. Meyer Institute of Systems Engineering



## Engineering Models – SWAT



|   |                                       |                      |                       |                            | SWAI IN  | put              | Table  |                            |
|---|---------------------------------------|----------------------|-----------------------|----------------------------|--|------------------|--|----------------------------|
| • | Shallow Water                         |                      |                       | Options (<br>Sonar<br>220  | (Ray)   Options (Sonar)  <br>  Target   Position<br>  Source Level (Omni) dB |                  | Signal/Target)   PD/<br>sovelocity Env 1D                                | /PC Batch<br>Options (Env) |
|   | Acoustics Toolset                     |                      |                       | 2000                       | Frequency (Hz)<br>Pulselength (sec)  |                  |  |                            |
|   | (SWAT) - NAVSEA                       |                      |                       | Resolution<br>0.75<br>0.75 | of Imaging Sonar<br>Range Resolution (m)                                     | Receiver<br>28   | # of Horizontal Beams  |                            |
| • | Inputs                                |                      |                       | 0.1                        | CrossRange Resolution (m)<br>Vertical Resolution (m)                         | 10<br>10         | # of Vertical Beams<br>Spacing Horizontal Beam<br>Spacing Vertical Beams |                            |
|   | – Environment                         |                      |                       | Projector<br>3600          | BandWidth (Hz)<br>D/E Projector (Deg)  | 0                | D/E Receiver (Deg)   |                            |
|   | – Sensor Parameters                   | Probability          |                       | Angle (Deg)                | Horizontal Beamwidth/Length  | Angle (Deg       | ) Units<br>Horizontal Beamwidth/Le                                       | -                          |
|   | <ul> <li>Target Parameters</li> </ul> | 07                   | $ \land \land \land $ | 13<br>Angle (Deg)          | Horizontal Sidelobe level (dB)   | 13<br>Angle (Deg | Horizontal Sidelobe Leve<br>)  |                            |
| • | Outputs                               | 0.5                  | $\vee$                | 13                         | Vertical Sidelobe level (dB)   | 13               | Vertical Sidelobe Level (  | -                          |
|   | – P_det vs. Range                     | 0.3-<br>0.2-<br>0.1- |                       |                            |  |                  |  |                            |
|   |                                       | 0.0                  | 9000                  | 2000<br>Range m            | 3000   |                  | 4000   |                            |

#### P\_det vs Range Output Chart





# Platform/Combat System Model (ALWSE-MC)

**ENS Scott Poitevent** 





#### Modeling Framework

Force/Theater Model (Extend<sup>тм</sup>)

#### Platform/Combat System Model (ALWSE-MC)

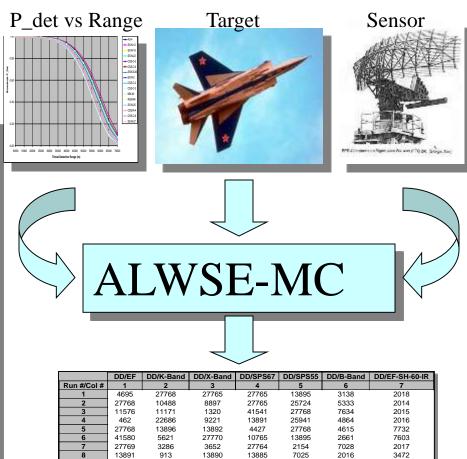
#### Engineering Physics Based Models (Excel/SWAT)



## ALWSE-MC



- Simulate Tactical Level Employment of Sensors Against Threats
- Make Use of Sensor
  P\_det vs Range Curves
  in Performance Analysis



Time-to-Detect Distribution

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## ALWSE-MC



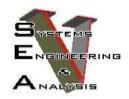
- Discrete Event Simulation Tool Developed by NAVSEA Panama City, FL
- Integration of Engineering Level Detection Curves Into Tactical Simulation
- Simulation of Vehicle Characteristics, Sensor, and Employment for a Variety of Unmanned Systems
   ALWSE-MC

AUTONOMOUS LITTORAL WARFARE SYSTEMS EVALUATOR

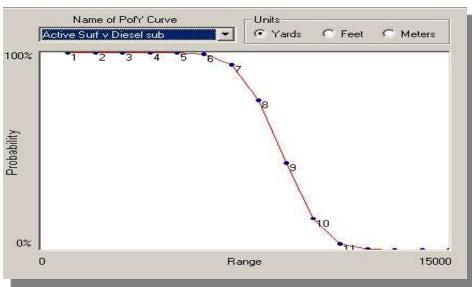


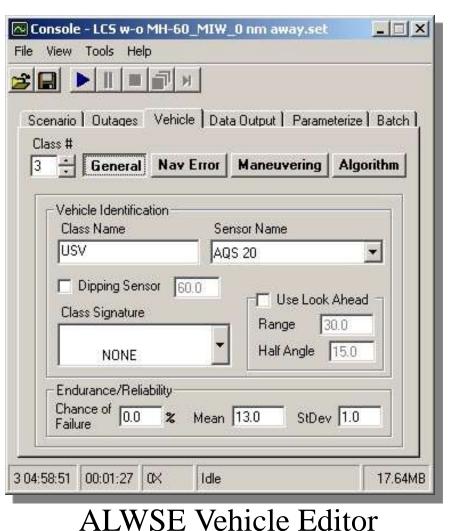


# **ALWSE-MC** Inputs



- P\_det vs. Range Curves
- Vehicle Parameters
- Threats
- Environment





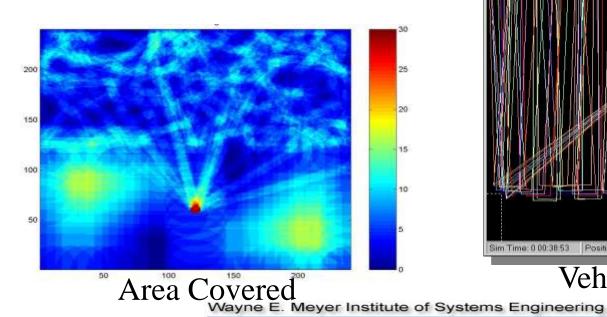
#### ALWSE P\_det Input Chart





## **ALWSE-MC** Outputs

- Effective Probability of DetectionVehicle Tracks
- •Time to Detection
- •Area Covered







# **ALWSE-MC** Utilization



- Platform/Combat System Modeling Performed to Incorporate Operational Implementation of Sensors/Threats Pairs and Produce Time to Detection Data
- Monte Carlo Analysis (200 Runs per Sensor/Target Pair)
- ALWSE-MC Simulation Missions
  - Surface (ASuW) Threats: DD, FFG, PGM
  - Anti-submarine (ASW) Threats: Diesel, Mini, Nuclear
  - Air (AW) Threats: Fighter, Bomber
  - Mine (MIW) Threats: Moored/Bottom (25 Each)
  - Land Threats: 50 SAM Launchers
- Use of P\_det Curves For Each Sensor/Target Pairing
- Generation of Distributions of Average Detection Time For Sensor–Target Pairings Used As Input Into Extend<sup>™</sup>





# Force/Theater Model (Extend<sup>TM</sup>)

**ENS Rob Smith** 



#### Force/Theater Model (Extend<sup>TM</sup>)



**Force/Theater Model (Extend<sup>TM</sup>)** 

Platform/Combat System Model (ALWSE-MC)

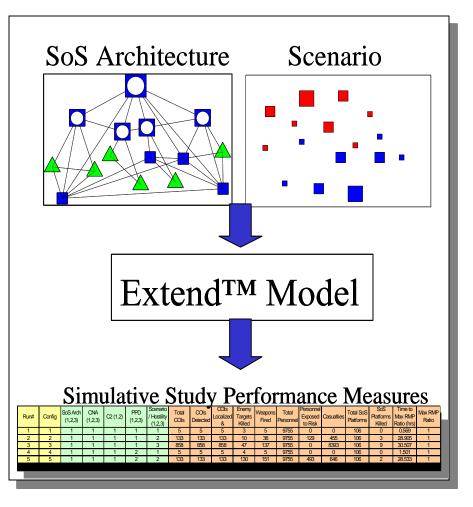
#### Engineering Physics Based Models (Excel/SWAT)



## Force/Theater Model Overview



- Process Model of Maritime Dominance Concept
- High Level Interactions
   Between Opposing
   Forces
- Effects of Changing SoS Force Structure and Architecture Attributes on Outcome





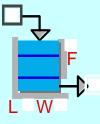


# Modeling Tool: Extend<sup>TM</sup>

- Discrete-Event Simulation Tool
- Multi-Layer Simulation
- Object-Oriented Design



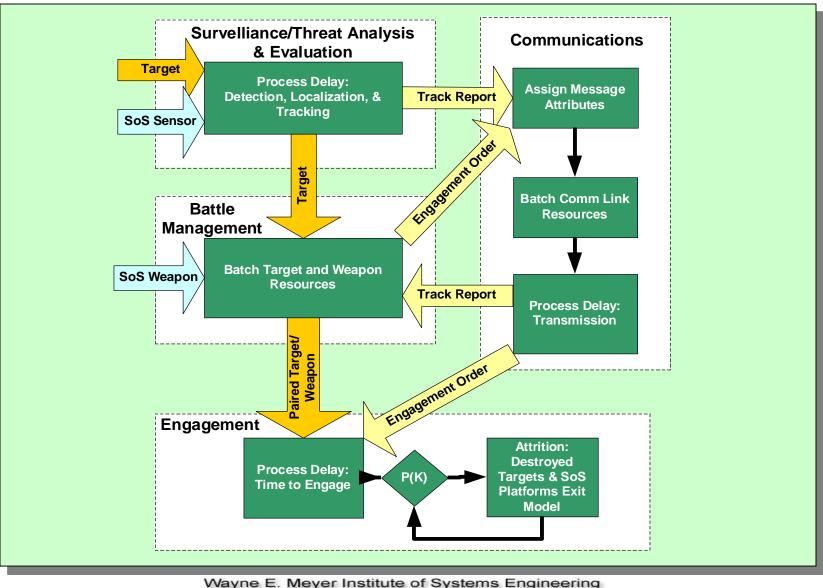
- Extensive Libraries of Alterable Icons Representing Simulation Processes
- Integrated Database Utility





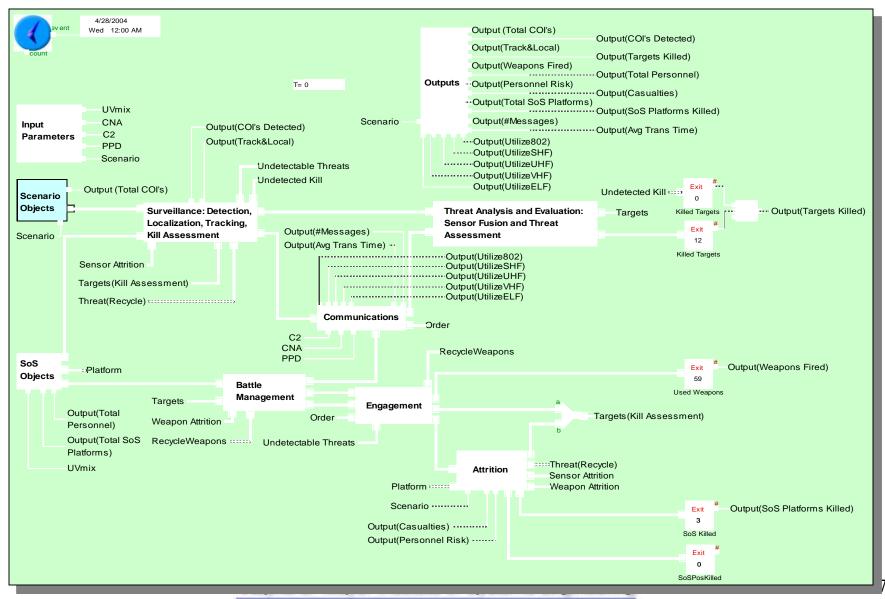
#### Extend<sup>TM</sup> Model Design





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# Top-Layer Extend<sup>TM</sup> Model



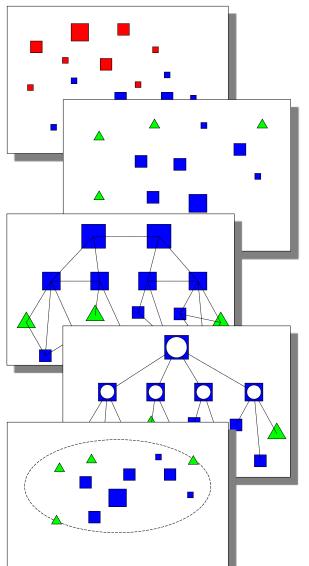
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- Full-Factorial Design With Configurations For All Combinations of Design Variables
  - 3 Scenarios (Benign, Nominal, Stressing)
  - 3 Architectures (Manned Only, Balanced Hybrid, Primarily Unmanned)
  - 3 Communications Network Architectures (Enclave, Hybrid, Distributed)
  - 2 C2 Structures (Centralized, Decentralized)
  - 2 Physical Platform Distributions (Small, Medium, Large)
- Run Matrix (162 Configurations with 50 Monte Carlo Runs Each) – 8100 Runs





# Inputs



### Attributes

- SoS Objects
  - Platform Types
  - Sensor/Weapon Capabilities
  - Sensor Performance
  - Communications Capability
  - Mission Area
- Scenario Objects
  - Threat Types
  - Mission Area
  - Arrival Times

| Mission Area (ref) | Mission    |
|--------------------|------------|
| 1                  | Surface    |
| 2                  | Air        |
| 3                  | Subsurface |
| 4                  | Mine       |
| 5                  | Land       |
| 5                  | Luna       |

| Threat           |  |  |  |  |  |  |  |
|------------------|--|--|--|--|--|--|--|
| DDG              |  |  |  |  |  |  |  |
| FFG              |  |  |  |  |  |  |  |
| 3 x PGM          |  |  |  |  |  |  |  |
| MIG-31 (Fighter) |  |  |  |  |  |  |  |
| SU-30 (Bomber)   |  |  |  |  |  |  |  |
| Missile Swarm    |  |  |  |  |  |  |  |
| Diesel Sub       |  |  |  |  |  |  |  |
| Nuc Sub          |  |  |  |  |  |  |  |
| Mini Sub         |  |  |  |  |  |  |  |
| Mine Field       |  |  |  |  |  |  |  |
| ASCM Launcher    |  |  |  |  |  |  |  |
|                  |  |  |  |  |  |  |  |
| Comm Link        |  |  |  |  |  |  |  |
| 802.11           |  |  |  |  |  |  |  |
| SHF              |  |  |  |  |  |  |  |
| UHF              |  |  |  |  |  |  |  |
| VHF              |  |  |  |  |  |  |  |
| ELF              |  |  |  |  |  |  |  |
|                  |  |  |  |  |  |  |  |

### Process Model Parameters

- Surveillance/Threat Analysis & Eval
  - ALWSE-MC Time To Detect Data
  - Sensor Availability
- Battle Management
  - Weapon Availability
- Communications
  - Network Architecture
  - Link Availability
  - Link Data Rates
- Engagement
  - $P_{SoS}(K)$
  - Time To Engage
  - $P_{\text{enemy}}(\mathbf{K})$



# Simulation Outputs – Performance Measures



| Config | SoS Arch<br>(1,2,3) | CNA<br>(1,2,3) | C2 (1,2) | PPD<br>(1,2,3) | Scenario<br>/ Hostility<br>(1,2,3) | Total<br>COIs | COIs<br>Detected | COIs<br>Localized<br>& | Enemy<br>Targets<br>Killed | Weapons<br>Fired | Total<br>Personnel | Personnel<br>Exposed<br>to Risk | Casualties | Total SoS<br>Platforms | Platforms | Time to<br>Max RMP<br>Ratio (hrs) | Ratio |
|--------|---------------------|----------------|----------|----------------|------------------------------------|---------------|------------------|------------------------|----------------------------|------------------|--------------------|---------------------------------|------------|------------------------|-----------|-----------------------------------|-------|
| 1      | 1                   | 1              | 1        | 1              | 1                                  | 5             | 5                | 5                      | 3                          | 5                | 9755               | 0                               | 0          | 106                    | 0         | 0.569                             | 1     |
| 2      | 1                   | 1              | 1        | 1              | 2                                  | 133           | 133              | 133                    | 10                         | 36               | 9755               | 129                             | 455        | 106                    | 3         | 28.905                            | 1     |

# Recognized Maritime Picture

- Time to Develop RMP

### • Engagement

- Targets Killed / Targets
   Engaged
- Targets Killed / Total Targets

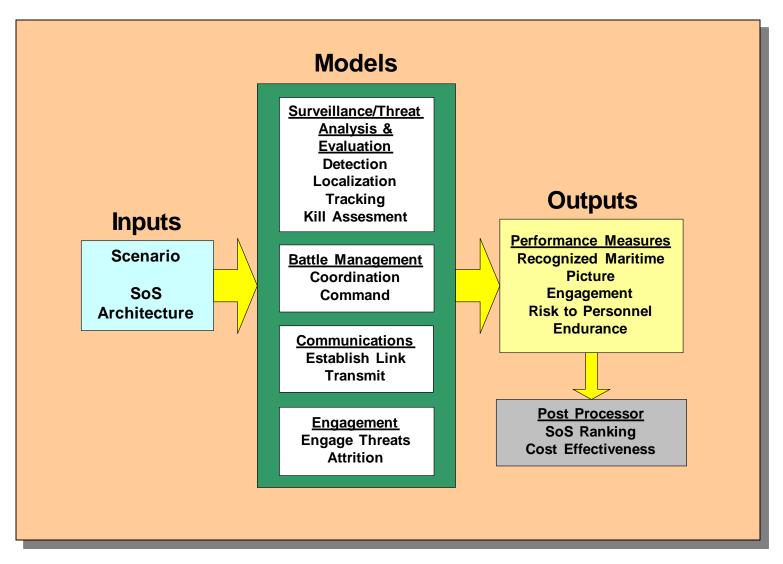
### Risk to Personnel

- Number of Personnel Exposed to Risk
- Number of Casualties
- Combat Endurance
  - Number of Surviving SoS Platforms



# Simulative Study Design









# Architecture Ranking

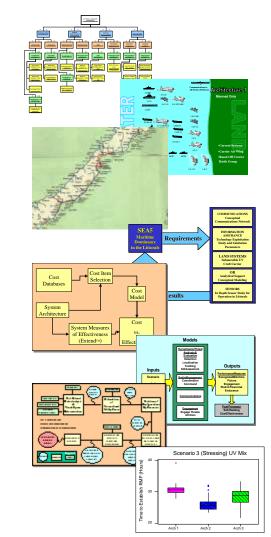
LT Chad Graham





# SoS Development

- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation









- Data Analysis
- Architecture Ranking Process
- Architecture Ranking Results
- Configuration Ranking Process
- Configuration Ranking Result



# Data Analysis

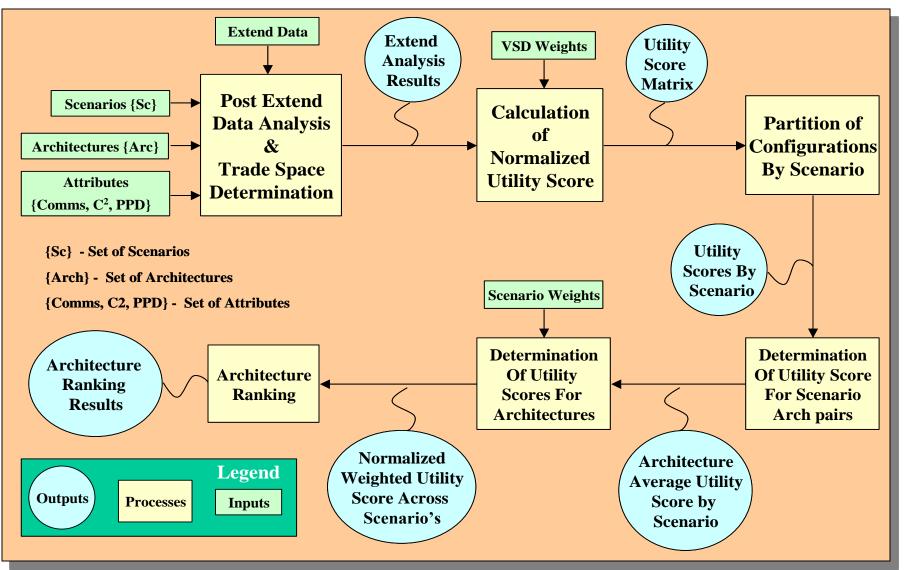


| Extend Outputs  |  | Extend Processed Data Output  |  |  |  |  |  |
|---|--|---|--|--|--|--|--|
| Total Contacts of Interest  | Evaluation Measure   | GlobalConfiguration NumberWeight123456  |  |  |  |  |  |
| Enemy Targets Killed  | Surveillance   | 0.3 1.039331 28.772294 30.59990644 1.0379031 28.556723 30.461<br>0.08 14.2 2189.62 0 2.58 1872.56   |  |  |  |  |  |
| . 0   | Risk Exposure<br>Casualties  | 0.08         14.2         2189.62         0         2.58         1872.56           0.12         0         716.38         7334.36         0         356.78         731   |  |  |  |  |  |
| • Avg Time to Establish RMP   | RMP Capability   | 0.12 1.1164263 0.0348017 0.032721644 1.1647954 0.0350882 0.0328   |  |  |  |  |  |
| Sos Platforms Killed  | Communication<br>Capability  | 0.08 0.4060147 0.293401 0.217374375 0.4042391 0.3062207 0.214   |  |  |  |  |  |
| Casualties  | Combat Effectiveness   | $\begin{array}{c} 0.06 \\ 0.4000147 \\ 0.233401 \\ 0.21737437 \\ 0.4042391 \\ 0.3062207 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.5082207 \\ 0.5144 \\ 0.5082207 \\ 0.508207 \\ 0.50807 \\ 0.508207 \\ 0.508207 \\ 0.508207 \\$ |  |  |  |  |  |
|   | Engagement Capability  | 0.1 0.6712812 0.0498008 0.014249879 0.6898679 0.0541287 0.0183  |  |  |  |  |  |
| • Personnel Exposed to Risk   |  | 0.09 1 0.9892453 0.713773585 1 0.9911321 0.7226   |  |  |  |  |  |
| • Avg Message Transmission  | Data Analysis Process  | 0.09 0.3 0.4933835 0.731748252 0.3 0.5085714 0.7223   |  |  |  |  |  |
| Time <ul> <li>Total Personnel</li> </ul>  | <ul> <li>Averaged 50 Runs of Output<br/>Data Per Configuration</li> <li>Extracted Averages for Every<br/>MOE for 162 Configurations</li> <li>Imported Averages Into Excel<br/>Data Sheet for Further</li> </ul>          |   |  |  |  |  |  |
| config SoS Arch (1,2,3) (1,2,3) (1,2,3) (1,2,3) (22 (1,2) PPD (1,2,3) | <ul> <li>Manipulation</li> <li>Processed Data Output to<br/>Match Total Utility Inputs</li> </ul>  | <ul> <li>Data Outputs</li> <li>Surveillance</li> <li>Risk Exposure</li> </ul>   |  |  |  |  |  |
| 1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5   | 4         7         9755         129           4         4         9755         0           4         5         9755         0   | Casualties  |  |  |  |  |  |
| 1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5   | 4         4         9755         0           3         4         9755         0           3         4         9755         0           3         5         9755         0  | <ul> <li>Communication Capability</li> <li>Combat Endurance</li> <li>Engagement Capability</li> <li>Recognized Maritime</li> </ul>  |  |  |  |  |  |
| 1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5   | 4         4         9755         0           4         6         9755         0           3         4         9755         0   |   |  |  |  |  |  |
| 1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5   | 3         4         9755         0           4         4         9755         0           4         8         9755         129           4         4         9755         0           4         4         9755         0 |   |  |  |  |  |  |
| 1         1         1         1         1         1         5         5         5           1         1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5           1         1         1         1         1         5         5         5   | $\begin{array}{cccccccccccccccccccccccccccccccccccc$   |   |  |  |  |  |  |
|   |  | Picture Capability  |  |  |  |  |  |



## Architecture Ranking Process





Wayne E. Meyer Institute of Systems Engineering

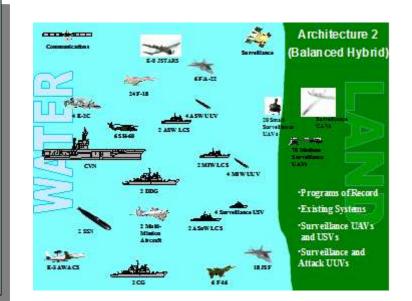
Naval Postgraduate School, Monterey, CA

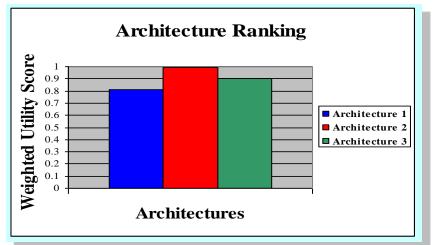


## Architecture Ranking Summary



- Balanced Hybrid Architecture
   With Unmanned/Manned Ratio of
   1.5:1 is Selected Based on Overall
   Performance
- UV to Manned Ratio Greater Than 1.5:1 Decreases Overall SoS Performance





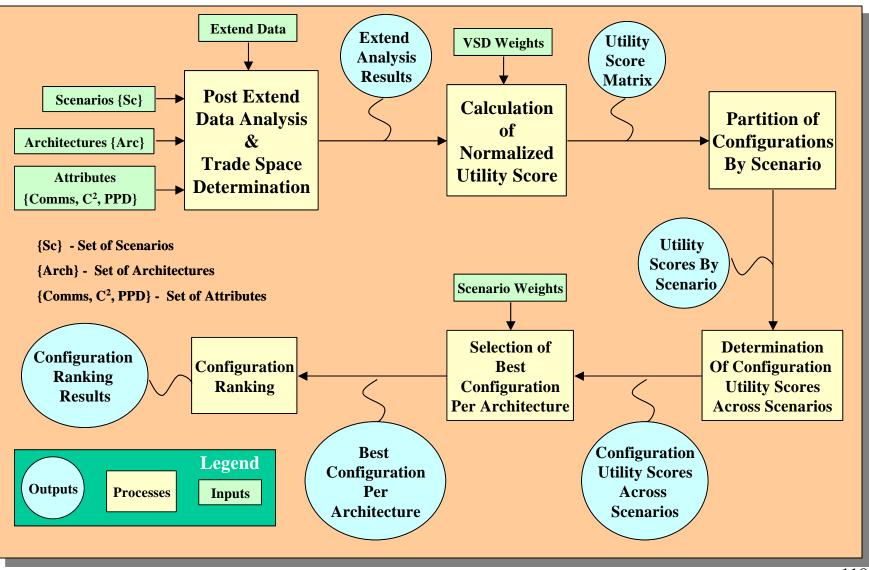
• These Results Are Based on Defined Scenarios With Weights Provided by Primary Stakeholder

### • Architecture Ranking is Insensitive to Scenario Weights



## **Configuration Ranking Process**







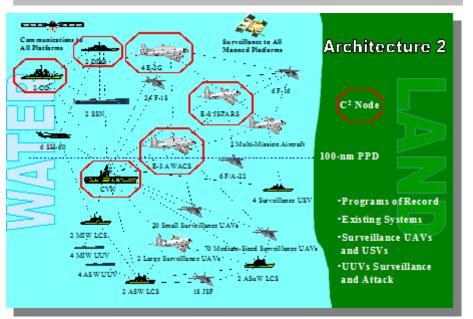
## **Configuration Ranking Results**



### **Best Configuration**

- Balanced Hybrid Unmanned/Manned Architecture (Architecture 2)
- Distributed Communication
- Decentralized Command & Control

### • 100-nm Platform Distribution



### Distributed Communications

- Faster Dissemination of Information
  - Average Message Delay 1/10<sup>th</sup> Hybrid's & 1/100<sup>th</sup> Enclave's
- Minimum Impact on Throughput with Node Failures

### Decentralized Command and Control

- Faster Dissemination of Command Messages
  - Average Message Delay 1/10<sup>th</sup> Centralized C2's
- Faster Reaction Times
- Less Network Demand
- Reduced Single C2 Node Workload
- Single C2 Node Failure Avoidance

### Platform Distribution

- 100-nm Platform Distribution Exhibiting Superior Performance Albeit Statistically Insignificant





# Configuration Selection Validation

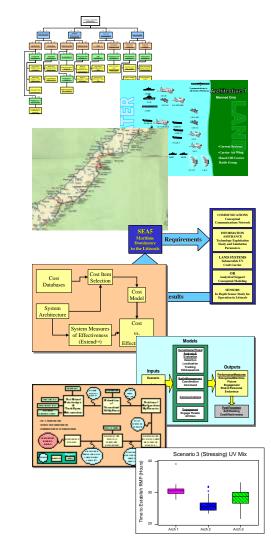
LT Jeff Winslow





# SoS Development

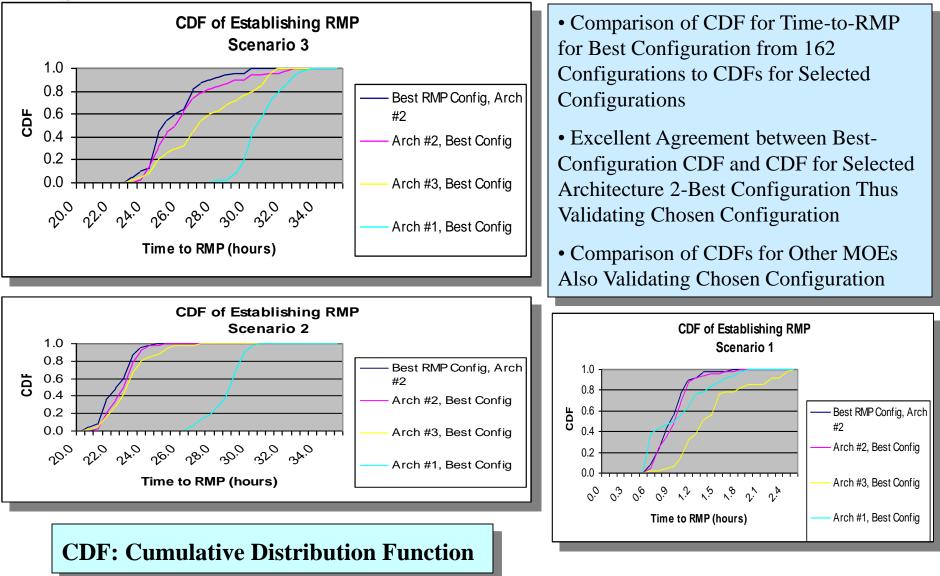
- Functional Analysis
- Value Systems Design
- Architectures
- Threats & Scenarios
- TDSI Integration
- Cost Analysis
- Simulative Study
- Architecture Ranking
- Configuration Validation





## Selected Configuration Validation





Wayne E. Meyer Institute of Systems Engineering

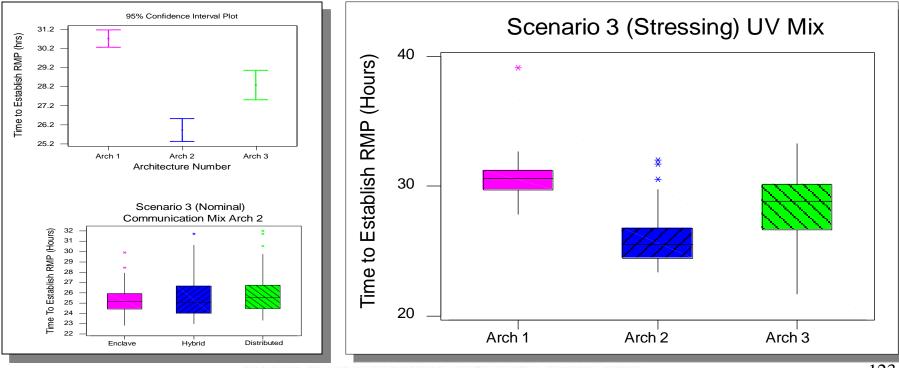
Naval Postgraduate School, Monterey, CA



# Effects of Configuration Attributes On RMP



- Significant Effects of Unmanned/Manned Ratio on Time-to-RMP
- Insignificant Effects of Command and Control Structure & Communication Network Architecture



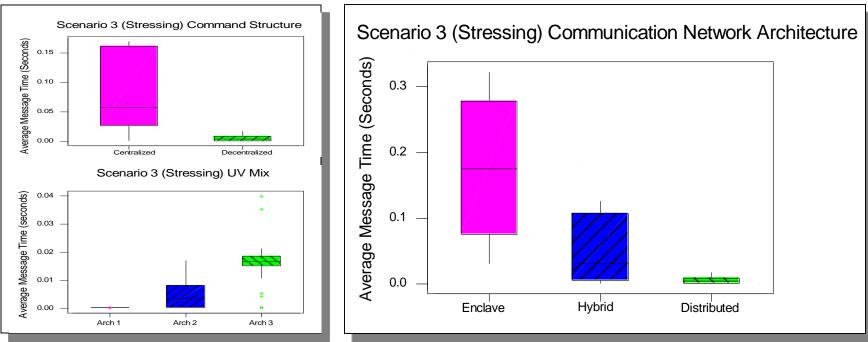
Naval Postgraduate School, Monterey, CA





## Effects of Configuration Attributes On Communications Performance

• Significant Effects of Unmanned/Manned Ratio, Command & Control and Communication Network Architecture on Communication Performance (Message Delay)







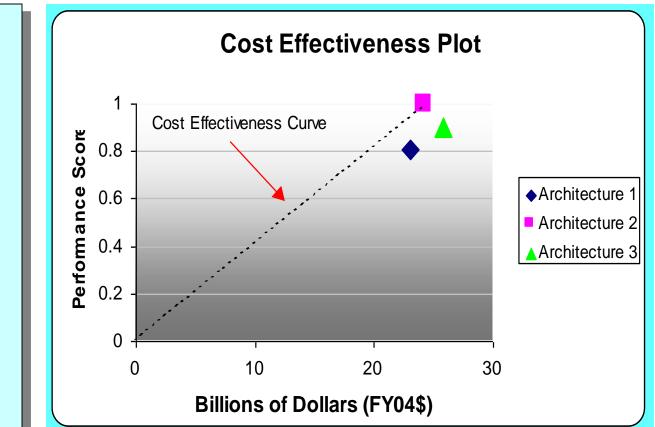


## for Architecture Recommendation

• Balanced Hybrid (Architecture 2) Cost Effective & Cost Efficient

•Manned Only (Architecture 1) Cost Effective Not Cost Efficient

Primarily Unmanned (Architecture 3)
Dominated (Neither Effective or Efficient)



### **Architecture 2 Recommended Based on Cost & Performance**

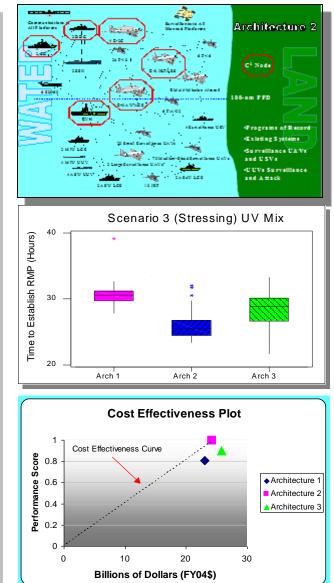
# Recommended SoS Configuration



### Recommended SoS Configuration

- Balanced Hybrid Unmanned/Manned Architecture (Architecture 2)
- Distributed Communication
- Decentralized Command & Control
- 100-nm Platform Distribution
- •Recommended Configuration Validated
  - Based On Independent Statistical Analysis
  - Involving All MOEs
- •Balanced Hybrid Unmanned/ Manned Architecture Recommended Based on Cost & Performance

•Cost Effective and Cost Efficient



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# **Project Conclusion**

## LCDR Quoc Tran



**Project Overview** 



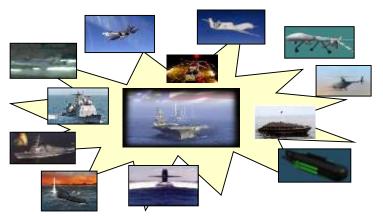
- <u>Tasked</u> With A Complex Problem of Maritime Dominance in the Littoral
- <u>Developed</u> a Project Management Plan
- <u>Executed</u> The Plan Using Systems Engineering Design Process
- <u>Generated</u> Conceptual SoS Architecture Alternatives
- <u>Used</u> Modeling and Simulation to Assess Architecture Performance
- <u>Ranked</u> SoS Architecture Alternatives

## Recommended System of Systems for Maritime Dominance in Littorals

### •Unmanned Vehicles Complement But Cannot Replace Manned Platforms

•Recommended System of Systems Enabling SEA BASING and SEA STRIKE in 200 nm by 200 nm Littoral Operation Area in 2020 Timeframe

- Consists of Unmanned/Manned Vehicle Ratio of Approximately 1.5 to 1
- Utilizes Distributed Communications with 100nm Physical Platform Distribution
- Employs Decentralized Command & Control Structure
- Is Cost Effective Relative to Other Alternatives





### • Distributed Communications

- Faster Dissemination of Information
- Minimum Impact on Throughput with Node Failures

### • Decentralized Command and Control

- Shorter Reaction Times
- Less Network Demand
- Single C2 Node Failure Avoidance
- 100 nm Platform Distribution
  - -Superior Overall Performance







- Family and Friends
- Project Advisor Dr. Huynh
- Military Advisor– CAPT Kline
- Supporting Temasek Defense Systems Institute Teams
- Department of Defense Organizations and Defense Industry
- Professors







## **Questions and Answers**

Questions May Be Reserved for the Break Out Session at 1300 in the Bullard Hall Computer Lab (If So Desired)

• Report and Presentation Will Be Available After 18 June 2004

http://www.nps.navy.mil/SEA/MaritimeDominance





# Backup Slides



# Differences in Architectures



| Architecture 1 | Architecture 2                 | Architecture 3                 |
|----------------|--------------------------------|--------------------------------|
| CVN            | CVN                            | CVN                            |
| SH-60          | SH-60                          | SH-60                          |
| E-3 AWACS      | E-3 AWACS                      | E-3 AWACS                      |
| CG             | CG                             | DDX                            |
| DDG            | DDG                            | CGX                            |
| SSN            | SSN                            | Insertion UUV                  |
| E2-C           | E2-C                           | Multi-Mission USV              |
| F/A-18         | F/A-18                         | Strik UAV                      |
| E-8 JSTARS     | E-8 JSTARS                     | Medium-Sized Multi-Mission UAV |
| P-3            | LCS                            | LCS                            |
| CH-53          | MIW UUV                        | MIW UUV                        |
| MH-53          | ASW UUV                        | ASW UUV                        |
| F-14           | JSF                            | JSF                            |
| S-3            | Large Surveillance UAVs        | Large Surveillance UAVs        |
| E/A-6B         | Medium-Sized Surveillance UAVs | Medium-Sized Surveillance UAVs |
| AH-1           | Small Surveillance UAVs        | Small Surveillance UAVs        |
| B-2            | F-16                           |                                |
| B-52           | F/A-22                         |                                |
| F-117          | Multi-Mission Aircraft         |                                |

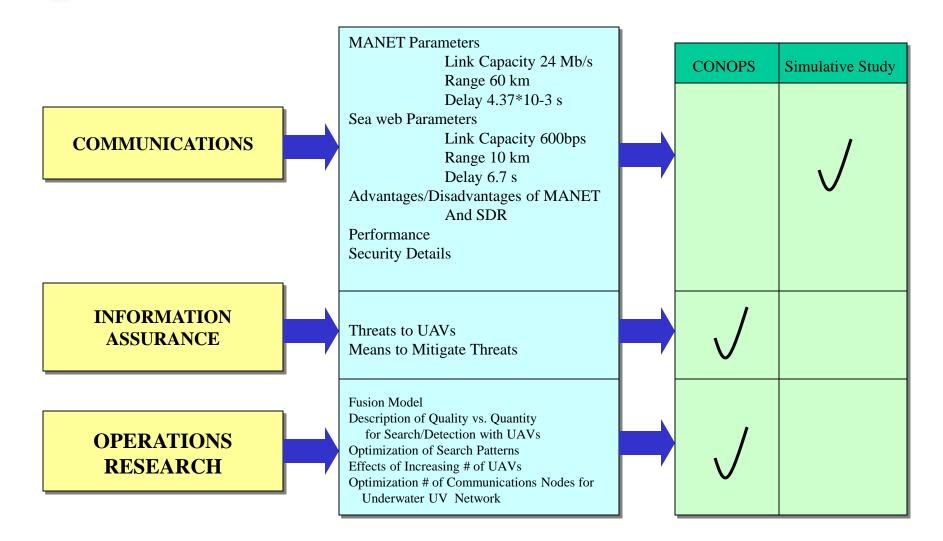
All Architectures

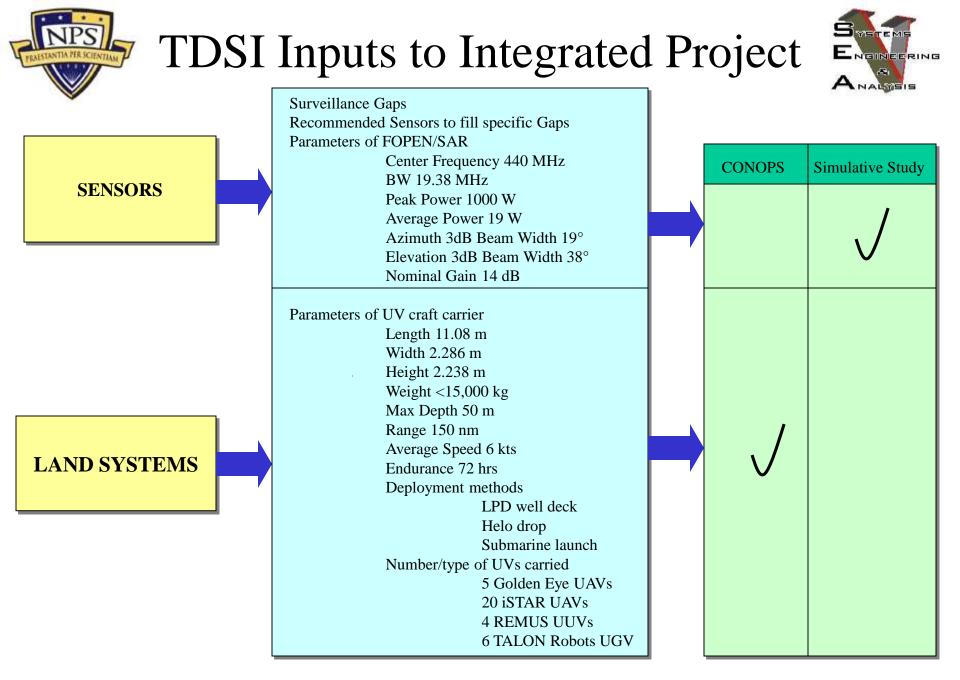
Arch1 and Arch 2

Arch 2 and Arch 3

# TDSI Inputs to Integrated Project













- Visibility and Management of Operating and Support Costs (VAMOSC) Database from NCCA
- Air Force Total Ownership Cost (AFTOC) Database from AFCAA
- Operating and Support Management Information System (OSMIS) Database from USACEAC
- Jane's Online
- Navy and Air Force Online Fact Files
- Federation of American Scientists (FAS)
- Defense Automated Cost Information System (DACIMS) Database from DCARC





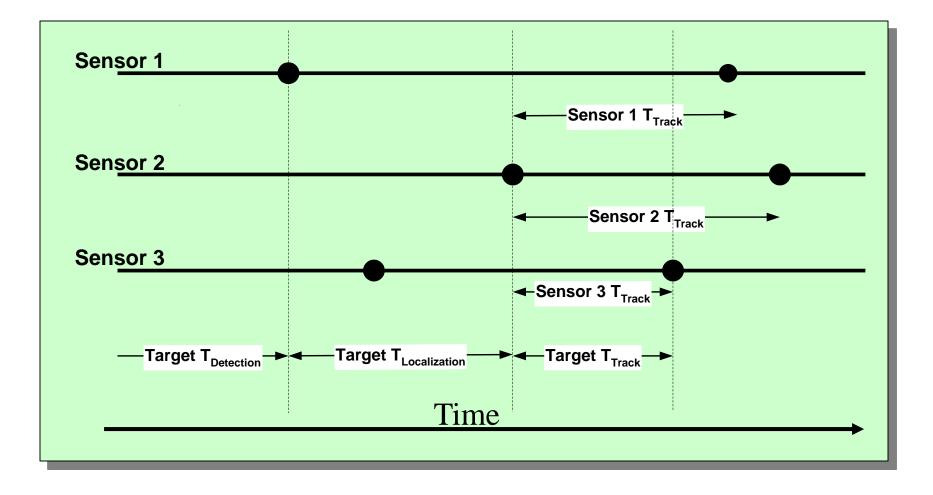
# Platform Cost Assumptions

- O&S Costs for USVs and UUVs Not Available
- Total Ownership Costs (TOC) Based on 10 year Service Life

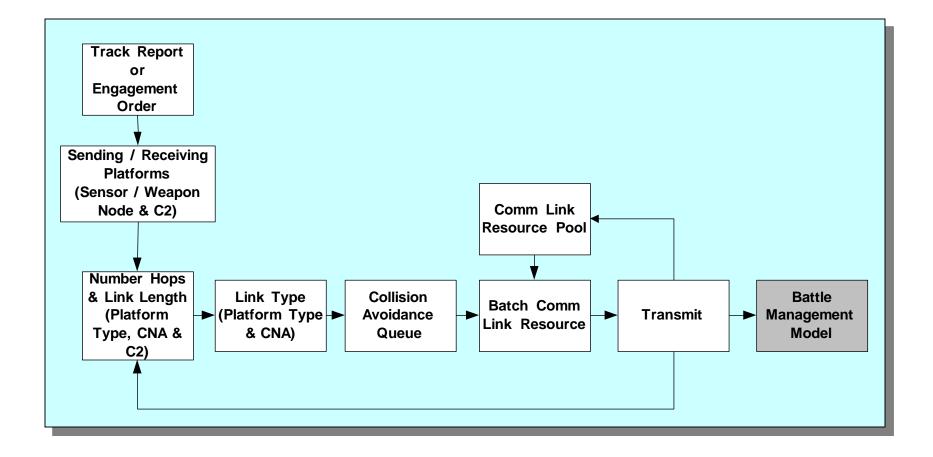




# Surveillance Algorithm



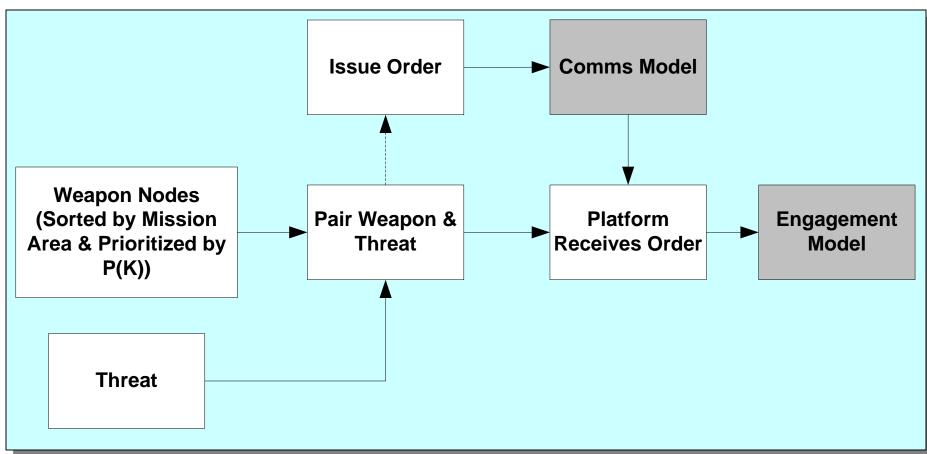








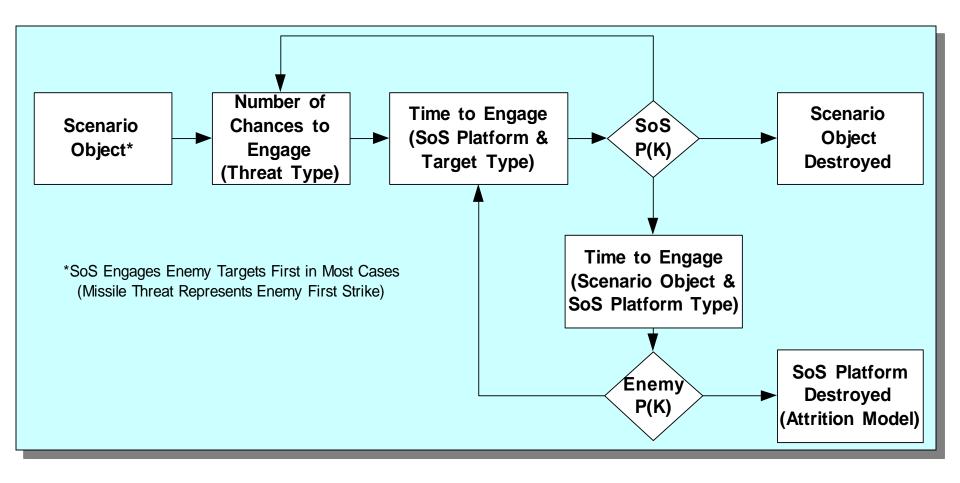








# Engagement Algorithm





## Bounded and Weighted VSD



- Maritime Dominance
  - a. Surveillance (.3)
    - i. Detection (.6 / .18)
      - 1. Coverage Capability (.4 / .072)
        - a. Average Time to Establish Complete Area Coverage
        - b. Ratio Area Covered / Total Search Area
        - c. Coverage Factor (Confidence)
      - 2. Probability of Detection (.6 / .108)
        - a. Average System Probability of Detection
    - ii. Tracking (.4 / .12)
      - 1. Tracking Capability (1 / .12)
        - a. Ratio Contacts of Interest (COI) tracked / Total COI
        - b. Average Number of Visits per COI
  - b. Threat Analysis and Evaluation (.2)
    - i. Identification (.7 / .14)
      - 1. ID Capability (.6 / .084)
        - a. Ratio COI's ID'd / Total COI
      - 2. Probability of False ID (.4 / .056)
        - a. Ratio of Incorrect ID's / Total ID's

- ii. Minimize Risk (.3 / .06)
  - 1. Reduced Exposure to Risk Capability (1 / .06)
    - a. Ratio of Personnel Exposed to Risk / Total Personnel
    - b. Ratio of Casualties / Total Personnel
- c. Battle Management (.2)
  - i. Recognized Maritime Picture (RMP) (.6 / .12)
    - 1. RMP Capability (1 / .12)
      - a. Average Time to Establish 80% of RMP
      - b Ratio Correct COI's ID'd / Total COI
  - ii. Maximize Communication (.4 / .08)
    - 1. Communication Capability (1 / .08)
      - a. Ratio of Number of Assets Lost **Communications / Total Assets**
- d. Engagement (.3)
  - i. Destroy / Disable Targets (.4 / .12)
    - 1. Engagement Capability (1 / .12)
      - a. Average Time to Kill 80% of Targets
      - b. Ratio of Targets Engaged / Total Targets
  - ii. Endure Combat (.6 / .18)
    - 1. Endurance Capability (1 / .18)
      - a. Ratio of Friendly Assets Survived / Total SoS Assets
      - b. Ratio of Enemy Assets Survived / Total **Enemy Assets**





## Assumptions and Constraints

- Calculations were done by approximating relative sizes of the UAVs to the manned systems they would be replacing.
- The calculations on the number UAVs capable of fitting on a carrier is based off the size of the predator UAV.
- We assumed that it would be possible to fold the wings in 2020 and that they would be capable of launching off and landing onto a carrier





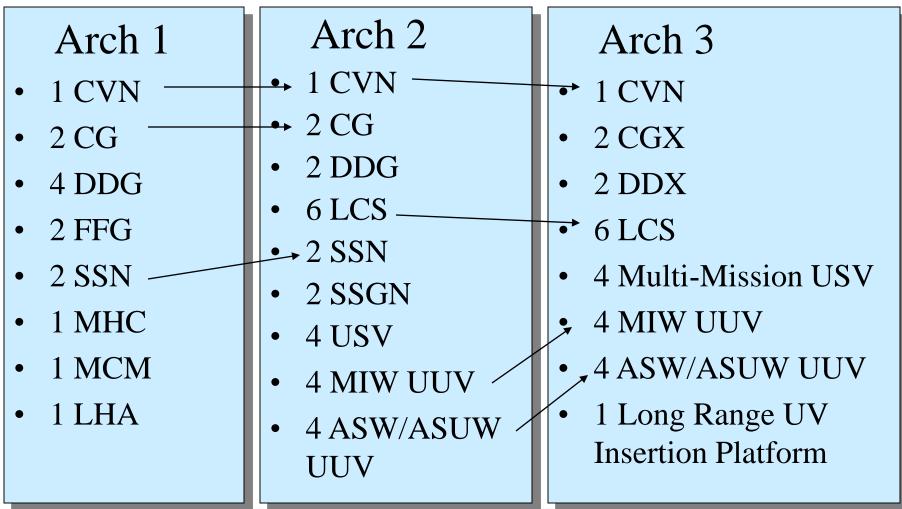
## **UV** Calculations

| Arch 3          |                     |               |            |              |        |       |                       | Arch 2 |                  |          |        |      |
|-----------------|---------------------|---------------|------------|--------------|--------|-------|-----------------------|--------|------------------|----------|--------|------|
|                 |                     | Wingspan      | Length     | Area         | Total  |       |                       |        |                  | Wingspan | Length | Area |
|                 | Med Surveillance    | 40            |            |              |        |       |                       | 58     | Med Surveillance | 40       |        |      |
| 25              | Med Strike          | 50            |            | 1500         |        |       |                       | 14     | JSF              | 30       | 45     |      |
|                 | Med Multi           | 48            | 27         | 1296         | 32400  |       |                       |        | E-2              | 42       | 60     | 2520 |
|                 | JSF                 | 30            | 45         | 1350         | 18900  |       |                       | 7      | Sh-60            | 15       |        |      |
| 4               | E-2                 | 42            | 60         | 2520         | 10080  |       |                       | 24     | FA 18            | 29       | 55     | 1595 |
| 7               | Sh-60               | 15            | 50         | 750          | 5250   |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              | 129130 | sq ft |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
| Current Carrier |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            | Area         | Total  |       |                       |        |                  |          |        |      |
| 8               | S-3                 | 39            |            |              | 16536  |       |                       |        |                  |          |        |      |
|                 | F/A-18 E/F          | 29            | 55         | 1595         | 57420  |       |                       |        |                  |          |        |      |
|                 | E-2                 | 42            |            |              | 10080  |       |                       |        |                  |          |        |      |
| 14              | F-14                | 38            | 62         | 2356         | 32984  |       |                       |        |                  |          |        |      |
| 5               | EA-6B               | 30            | 59         | 1770         | 8850   |       |                       |        |                  |          |        |      |
| 7               | Sh-60               | 15            | 50         | 750          | 5250   |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              | 131120 | sq ft | Approx. Carrier space |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 | h Wing Fold the win | gspan is appr | oxamatly 2 | 2/3 the size |        |       |                       |        |                  |          |        |      |
| Arch 3          |                     |               |            |              |        |       |                       | Arch 2 |                  |          |        |      |
|                 |                     | Wingspan      |            | Area         | Total  |       |                       |        |                  | Wingspan |        | Area |
|                 | Med Surveillance    | 32            |            |              |        |       |                       | -      | Med Surveillance | 30       |        |      |
|                 | Med Strike          | 32            |            |              |        |       |                       |        | JSF              | 30       |        |      |
|                 | Med Multi           | 32            |            |              |        |       |                       |        | E-2              | 42       |        |      |
|                 | JSF                 | 30            |            |              |        |       |                       |        | Sh-60            | 15       |        |      |
|                 | E-2                 | 42            |            |              |        |       |                       | 24     | FA 18            | 29       | 55     | 1595 |
| 7               | Sh-60               | 15            | 50         | 750          | 5250   |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              | 129270 | sq ft |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               |            |              |        |       |                       |        |                  |          |        |      |
|                 |                     |               | 26.66667   | 17.7777778   |        |       |                       |        |                  |          |        |      |





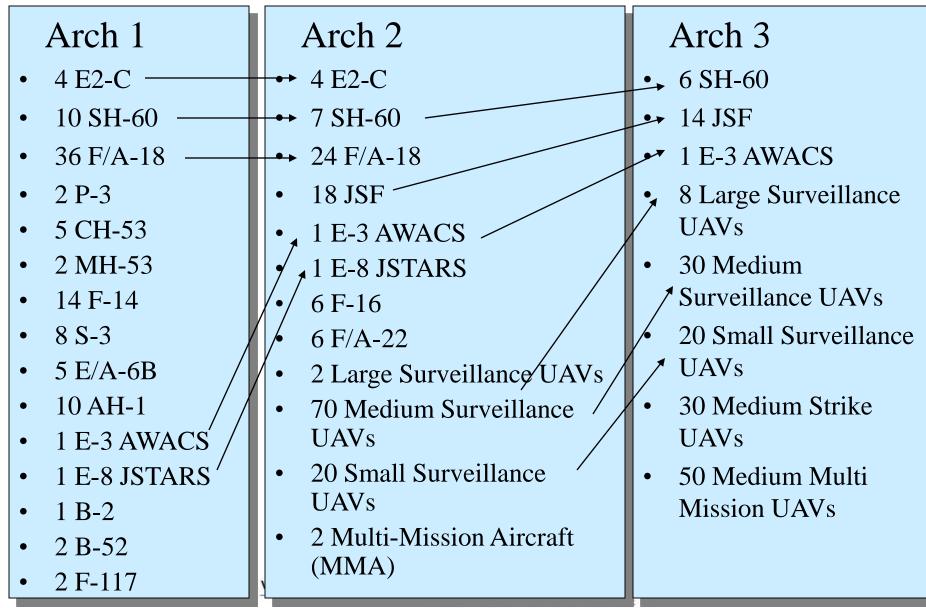
## Changes In Sub & Surface Vessels





# Changes In Air Assets









## Land Forces Estimate in JAOA

- Estimate of PRC forces
  - 3 Infantry Divisions = 45K
  - -1 Arty Division = 15K
  - Total = 60K
- Estimate of JUMPVISA Coalition forces

| - 1 MEB                                   | = | 17K |
|---|---|-----|
| - 1 OFB                                   | = | 3K  |
| – 1 Airborne Division                     | = | 12K |
| <ul> <li>– 1 Infantry Division</li> </ul> | = | 11K |
| – Total                                   | = | 43K |



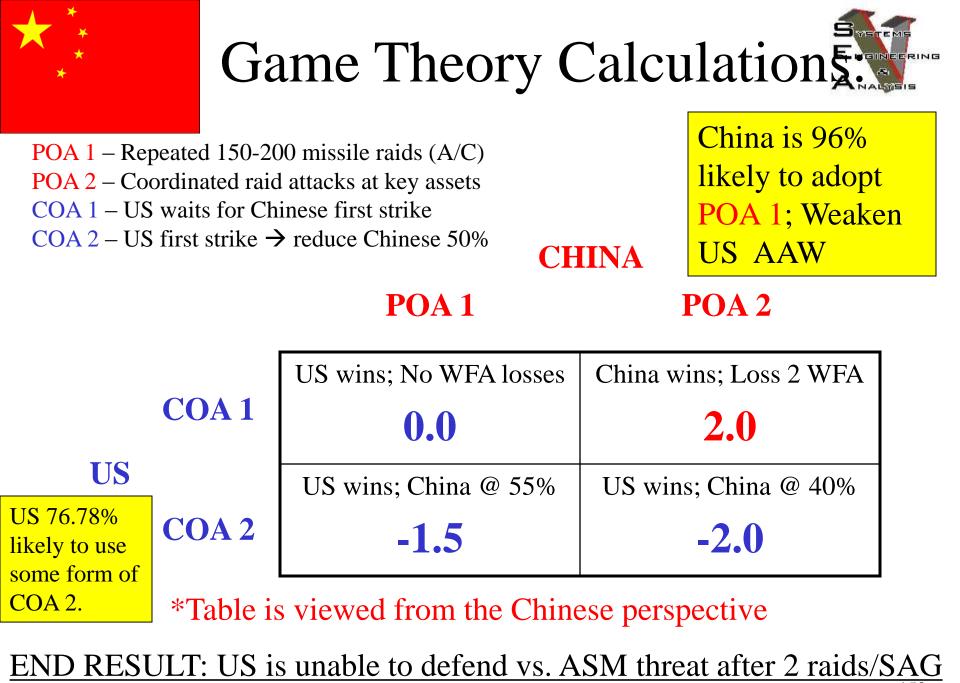


## Game Theory Definitions

IMPACT Table Breakdown

| Mission Area Def |              |  |
|------------------|--------------|--|
|                  |              |  |
| 1                | TBMD         |  |
| 2                | AAW          |  |
| 3                | Land Warfare |  |
| -                |              |  |
| 4                | SUW/USW      |  |
| 5                | LOC          |  |
|                  |              |  |
|                  |              |  |

| NPS            | 7  | Probability of Kill: |        |          | Non-selective |              |          |  |
|----------------|--|----------------------|--------|----------|---------------|--------------|----------|--|
|                | Displacement   | Multiple             | Number | Wt Mult  | <b>P9H</b> )  | Hits to Kill | AP(k)    |  |
| LPD-17         | 25000  | 24.57                | 6.00   | 147.43   | 0.669261      | 5            | 0.223087 |  |
| CVN            | 97,000   | 27.71                | 1.00   | 27.71    | 0.125811      | 5            | 0.041937 |  |
| CG             | 9,000  | 2.57                 | 4.00   | 10.29    | 0.046693      | 2            | 0.006226 |  |
| DDG            | 8,500  | 2.43                 | 9.00   | 21.86    | 0.099222      | 2            | 0.01323  |  |
| LCS            | 3,500  | 1.00                 | 13.00  | 13.00    | 0.059014      | 1            | 0.003934 |  |
| Totals:        | 204,000  | 58.28571             | 33     | 220.2857 | 1             | 15           | 0.288413 |  |
| P(MA) =        | 0.85   |                      |        |          |               |              |          |  |
| P(MH) =        |  |                      |        |          |               |              |          |  |
|                | P(SHMK):   |                      |        |          |               |              |          |  |
| Ex-War         | 0.0061   |                      |        |          |               |              |          |  |
| CVN            | 0.0054   |                      |        |          |               |              |          |  |
| CG             | 0.15   |                      |        |          |               |              |          |  |
| DDG            | 0.15   |                      |        |          |               |              |          |  |
| LCS            | 0.365  |                      |        |          |               |              |          |  |
| P(MA) =        | Probability of Missile Acquire   |                      |        |          |               |              |          |  |
| P(MH) =        | Probability of Missile Hit ; standard measure of missile accuracy  |                      |        |          |               |              |          |  |
| P(SHMK) =      | Probability of Single Hit Missile Hill (per ship class)  |                      |        |          |               |              |          |  |
| Multiple =     | The number of times that a ship is more likely to be targeted than an LCS positioned near it based on size difference    |                      |        |          |               |              |          |  |
| Number =       | Number of ships in that class that are in the targeting area simultaneously  |                      |        |          |               |              |          |  |
| Wt Mult =      | Likelihood that a particular ship class will be target based on the number of ships in that class that are present       |                      |        |          |               |              |          |  |
| P(H) =         | Weighted probability of hit for each ship class based on the numbers of that ship class in the area                      |                      |        |          |               |              |          |  |
| Hits to Kill = | Number of hits required per class of ship to achieve mission kill<br>Wayne F. Meyer Institute of Systems Engineering 149 |                      |        |          |               |              | 149      |  |
| P(k) =         | Weighted total probability, adjusted by number of ships per class present, of mission kill per class CA                  |                      |        |          |               |              |          |  |





# Modeling Tools Description



## Higher Level Models Build on Lower Level Models

## Excel/SWAT

- Based on Physical Laws
  - High Fidelity
  - Limited Breadth
- Establishes
   Fundamental Physical
   Characteristics for all
   Other Models

## ALWSE-MC

- Implements Concepts of Operation
  - Less Depth
  - Consideration of "Real World" Effects
  - Application of Tactical Environment
- Provides Performance Characteristics for Higher Level Models

#### Extend<sup>TM</sup>

- Implements Process
   Algorithms to
   Provide
  - Increased Breadth
  - Abstraction
  - Assessment of
     Multiple
     Configurations of
     Variable
     Parameters
- Produces
   Comprehensive and Quantitative Results for Decision Making

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# Modeling Outputs

## Excel/SWAT

• Engineering Physics Based Modeling Performed to Create Database Tables and Lateral Range Detection Curves for Sensors/Threats Pairs

### ALWSE-MC

 Platform/Combat System Modeling Performed to Incorporate Operational Implementation of Sensors/Threats Pairs and Produce Time to Detection Data

#### Extend<sup>TM</sup>

• Force/Theater Modeling Performed to Incorporate Multiple Architectural and Scenario Parameters and Provide the Necessary Outputs to Fulfill the Simulative Study Objectives

# Carrier Analysis

- Used ALWSE-MC to evaluate the area coverage by payload of the TDSI Land Systems Unmanned Vehicle Carrier
- 10 nm x 10 nm
- 4 UUV (search speed 3 kts)
- 5 Crawler UGV (search speed 1.3 ft/sec)
- 20 iStar UAV (search speed 30 kts)
- 6 Goldeneye UAV (search speed 30 kts)
- Area split horizontally between water and land
- UUVs conducted ladder search of area, UAVs/UGVs conducted random search patterns



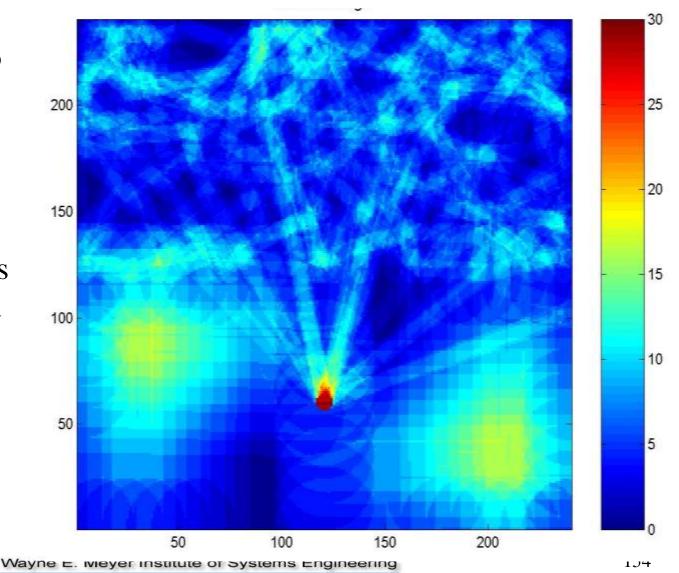
## Area Covered



•Area divided into 25 ft x 25 ft squares

•Color scheme scaled according to number of times square was visited

•98.43% area covered in 22 hrs (maximum endurance of UV)



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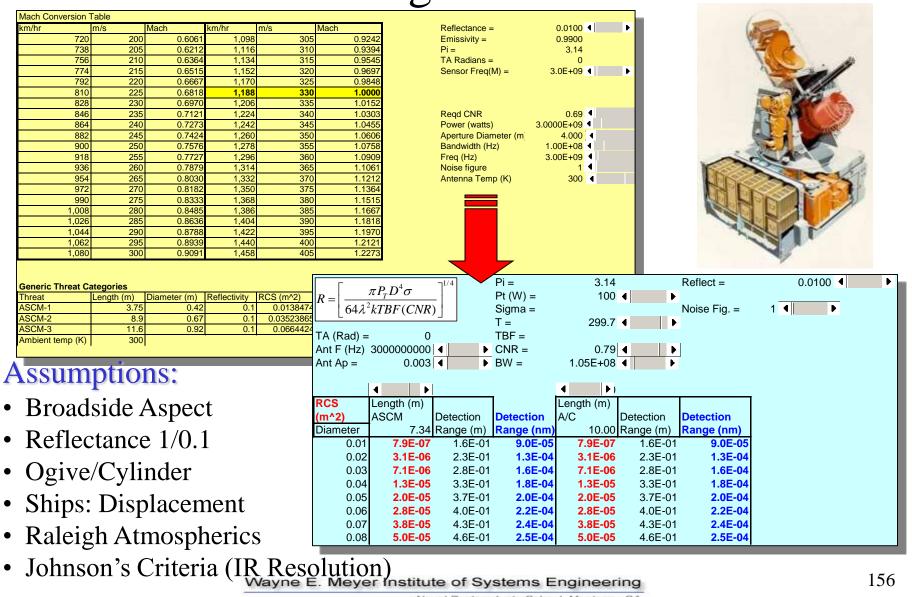
## Results

- Significant littoral surveillance capability can be achieved at distance with reduced risk to personnel
- Rapid, Modular Deployment options
- 150 nm operating range of Unmanned Vehicle Carrier
- 98.43% area (10 nm x 10 nm) covered in 22 hrs of operation



## Engineering Models – **Threat Signature Tool**





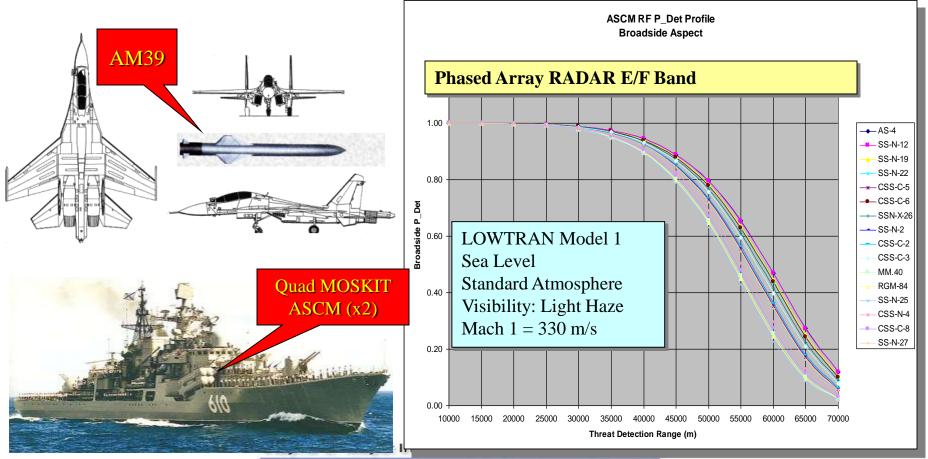
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## Engineering Models – Representative P\_det Curves



Acoustic/RADAR/EO-IR Longitudinal Probability of Detection Curves
SA/SS/AS Envelopes Characterized By Unclassified Physics Models
Swerling II Detection Model / NVESD ACQUIRE Algorithm



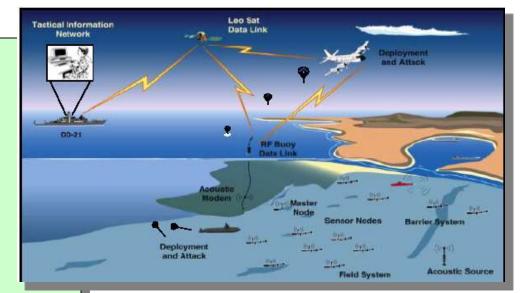
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# Concept of Operations 1

- Employment of UV Assets
  - Introduce Less
     Capable/less Costly
     Assets First
  - More Advanced Assets
     Follow
- Search Pattern
  - Alternating Waffle Search



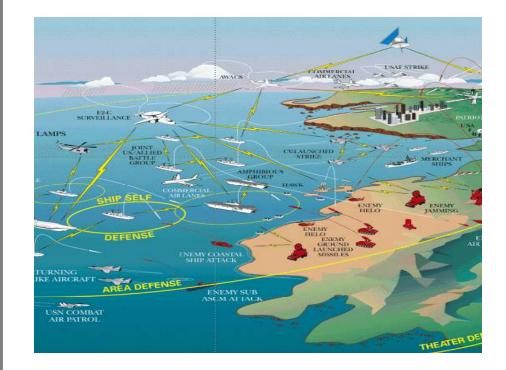
stems Engineering





# Concept of Operations 2

- Distributed Communications
  - All Platforms Have Communication Capability
- Decentralized Command and Control
  - Performed by Manned Platforms

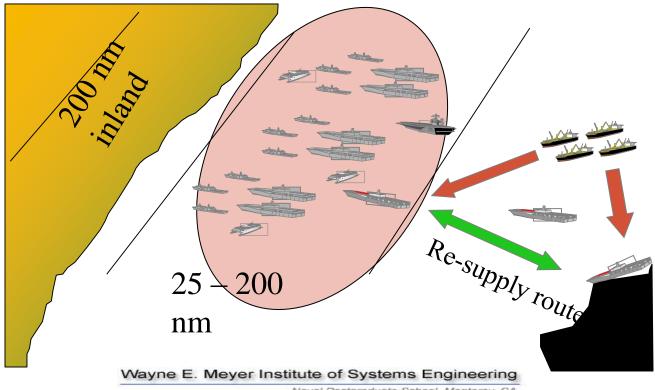






# Concept of Operations 3

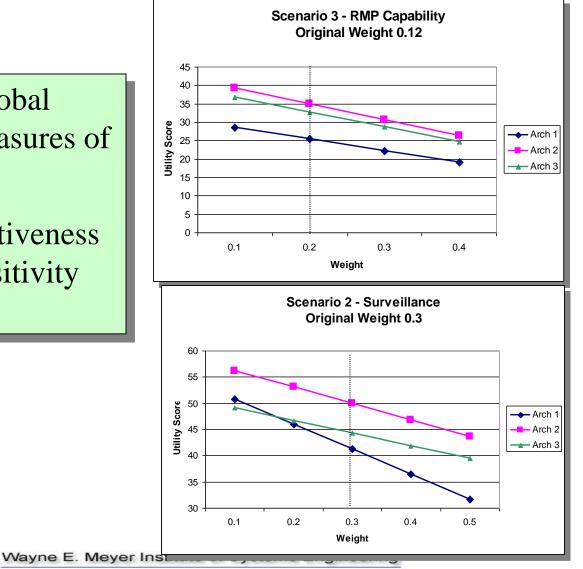
- Medium Platform Distribution
  - 150 Nautical Mile Distance





•Insensitivity of Global Weights within Measures of Effectiveness

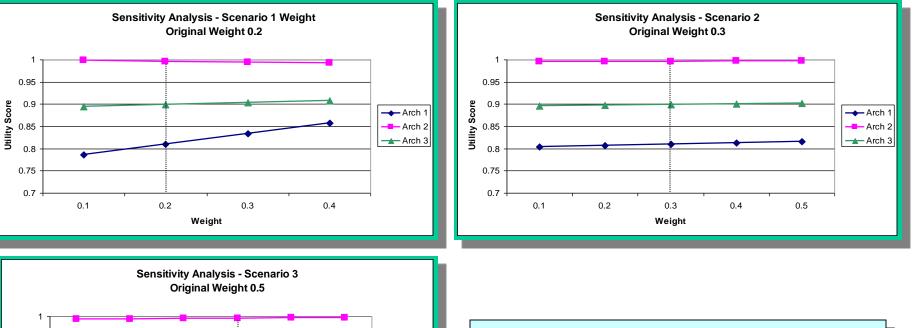
•Measures of Effectiveness Were Within Insensitivity Range

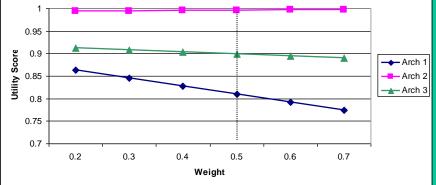


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# Scenario Weight Sensitivity Analysis BU





Insensitivity of Architecture Selection to Scenario Weights

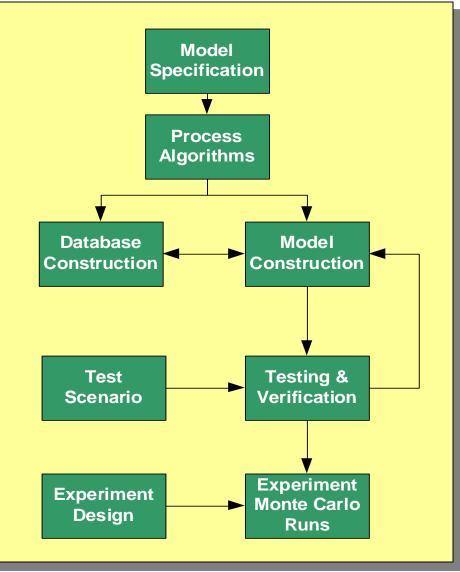
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## Model Development Process





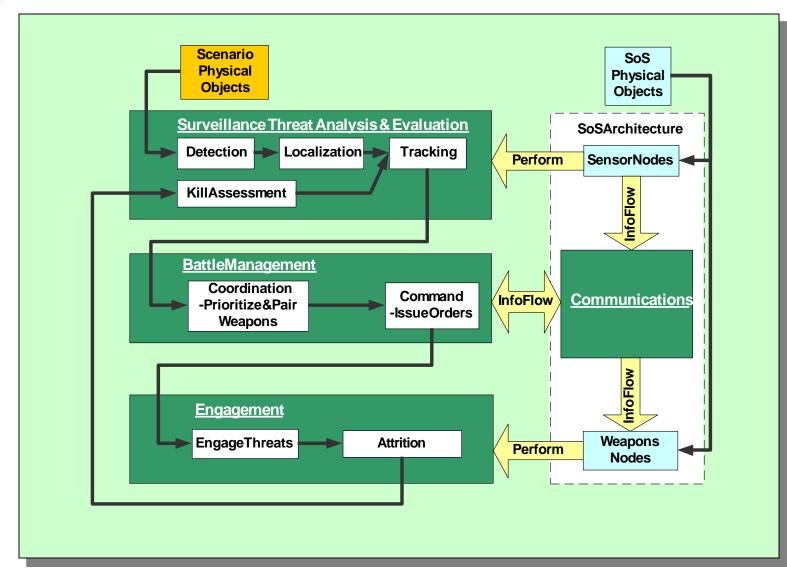
•Allowed Efficient Extend<sup>™</sup> Model Development in Compliance with Schedule

•Focused and Standardized Programmer/Modeler Efforts

•Coordinated Modeling Efforts With Data Collectors and Post-Processors

## Extend<sup>TM</sup> Model Design



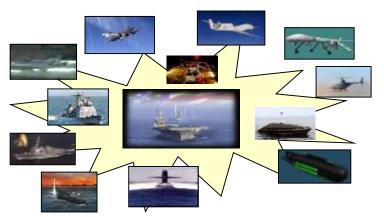


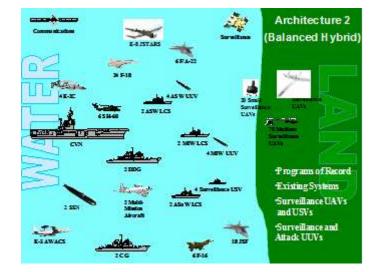
# Recommended System of Systems for Maritime Dominance in Littorals

#### •Unmanned Vehicles Complement But Cannot Replace Manned Platforms

•Recommended System of Systems Enabling SEA BASING and SEA STRIKE in 200 nm by 200 nm Littoral Operation Area in 2020 Timeframe

- Consists of Unmanned/Manned Vehicle Ratio of Approximately 1.5 to 1
- Utilizes Distributed Communications with 100nm Physical Platform Distribution
- Employs Decentralized Command & Control Structure
- Is Cost Effective Relative to Other Alternatives





#### • Distributed Communications

- Faster Dissemination of Information
- Minimum Impact on Throughput with Node Failures

#### • Decentralized Command and Control

- Shorter Reaction Times
- Less Network Demand
- Single C2 Node Failure Avoidance
- 100 nm Platform Distribution
  - -Superior Overall Performance

