

NAVAL POSTGRADUATE SCHOOL (NPS) BOARD OF ADVISORS (BOA)
SUB-COMMITTEE MEETING MINUTES
26 - 27 April 2011

1. The NPS BOA Sub-Committee meeting was convened by the Designated Federal Official, Ms. Jaye Panza on 26 April 2011 at the Naval Postgraduate School in Monterey, CA. VADM Lee F. Gunn, USN (Retired) Chaired the meeting. Other principal and invited attendees included: Mr. Walter Anderson; Mr. Michael Bayer; VADM David Frost, USN (Retired); ADM Tim Keating, USN (Retired); Mr. Jeffery Bearor (for TECOM); Lt Gen Al Peck, USAF; Col Al Bourque, USA (for AWC); Dr. Elisabeth Paté-Cornell; RADM Nevin Carr, USN; VADM Mark Ferguson, USN; RADM Douglas McAneny, USN (for NDU); Mr. G. Kim Wincup; Dr. Jack Borsting; Dr. Walter Jones; CAPT Tim Duening, USN (AFIT); and from NPS, President Daniel Oliver and Provost Leonard Ferrari.

2. Following opening remarks by Chairman Lee Gunn, the board received their annual ethics training by Kath Ashton, NPS General Counsel. Following her remarks the meeting was turned over to President Oliver for an update on significant events since the last board meeting, such as:

10-year WASC Accreditation renewal (interim report to WASC required in 2014 to follow up): Governance was a big issue and NPS thanks the BOA for being active and involved in the accreditation process.

Facilities: new academic building almost completed; conversion of former bachelors quarters into academic spaces; HVAC replaced all over campus; Herrmann Hall first floor renovation; Roman Plunge reflecting pool project. No other new spaces planned for the future due to budget cutting. NSAM Commanding Officer, CAPT Gerral David gave an in-depth briefing.

Indirect/overhead issue: FMB oversight and guidelines process is ongoing. Current guidelines will go into effect 1 October 2011. Some issues unresolved and dialogue ongoing with FMB.

Tuition: Discussed the need to raise tuition rates for other services to that of contractor and international rates to recover costs and budget cuts in the outyears. Working group formed to meet yearly with AFIT to set tuition. NPS and AFIT are the only two PME schools with this issue. Other schools swap students under mission funded activities. Air Force reducing the number of fills on graduate education requirements due to budget fall outs. Only twenty new air force students will attend NPS next year.

Fiscal Outlook: VADM Mark Ferguson addressed the board indicating OMB had not released fiscal controls for FY13 yet. Navy is facing intense fiscal pressure in the DOD budget and facing significant reductions. Benefit growth in people (healthcare, pay and allowances), procurement programs, and operations and maintenance dollars are outstripping the rate of inflation.

Strategic Plan Review: President Oliver wants the next strategic plan to be the Navy's strategic plan for the Naval Postgraduate School. NPS' Committee on the Future (COF) going around speaking to stakeholders. NPS needs guidance and support from Navy leadership on way ahead.

International: Singapore, India, Korea (also Japan, South Africa) are the main countries CNO wants outreach and establishment of international relations. NPS already has a successful ten-year relationship with Singapore and has already signed memorandum of accord with India's defense development research organization (organization which sends students to graduate school and that funds research projects). NPS is developing relationships with the Korean Agency for Defense Development.

NPS/AFIT: Both are comfortable with the relationship. No issues at this time with either the MOA or MOU.

A listing of Faculty/Staff Awards were presented to the Board.

Other briefers and comments:

Karl Van bibber briefed on integrated safety program and that its mission is to protect people, protect the institution, and protect national security. NPS performs a lot of research involving explosives, rocketry, high energy lasers and the safety program is very engaged with oversight and protection. Karl is working with Naval Support Activity Monterey Commanding Officer, CAPT David looking at infrastructure and campus safety related items.

RADM Jerry Ellis briefed the board on the Committee of the Future's efforts on preparations as NPS develops the next strategic plan. A complete listing of individual's interviewed is provided in RADM Ellis' brief. COF members have received a wide diversity of responses. COF will brief the President on their findings and from there the strategic plan will start. Report will be the seminal input for the strategic plan. The complete report will be presented to the board in September. (From Oct 2010 minutes VADM (Ret) Jerry Ellis presented an overview of the NPS Committee on the Future—created in April 2010 to look beyond the boundaries of the present and into the possibilities of the future. The COF is asked to consider some of the important changes on the higher education landscape and provide advice about how NPS can fully realize its potential itself as a central resource to the nation. The Committee will develop a final report

presented to NPS leadership in August 2011 which will lay the foundation for NPS strategic planning purposes.)

VADM Mark Ferguson discussed public-private Partnerships, and other funding streams. The Navy is looking at regulations and Title 10, as this vision and this innovation could require restructuring.

Professor Greg Mislick briefed the Board on the AFIT/NPS new curriculum, Coordinating Cost Estimating and Analysis. Created in 9 months and is taught by distance learning. There are no resident students in this 16 course, 2 year program. It's predominantly DOD civilian in cost estimating career field, contractors, and a few military.

Foundation (NPSF) brief by Merrill Ruck: NPSF enjoys a lot of student involvement; is partnering with alumni relations; peacock store is a success and is now on line; charity golf tournament wounded in action charities, a lot of interaction with student activities (i.e., sponsor cycling club, hosting runners marathon club); NPSF also hosting FAO students, assisting students with research projects that aren't funded in the general program. NPSF members enrich student efforts with foundation member connections in industry.

Provost Leonard Ferrari brief: Discussed approaches toward moving forward and overcoming reduced mission budget, fiscally and legal constraints. Research funds continue to increase. International programs showing more interest. NPS recognized designated as the only "NATO 2006 partnership for peace education and training center in the United States. NPS would like to be able to offer PhD Research Associate program. We are called upon to Increase research expenditures and need high quality individuals to do the research. Will increase cooperation with international partnerships in areas around the world such as humanitarian assistance-terrorism, helps leverage money navy gives us at a level generates dollars to keep the quality and depth and breadth of programs offset cuts during the constrained fiscal environment.

The board asked about the STEM (Robotics) Science, Technology, Engineering, and Mathematics Program. There is the wave of interest on science and technology going on in the private sector and question how to tie into NPS. Is there a way to use STEM to get into some places raise our visibility in terms of general support (501(c)3 organizations that are interested in STEM?

Dr. Karl van Bibber brief on Multidisciplinary Programs: CRUSER, Cyber, Adaptive Optics, ISR, and Energy. All four schools participate in these programs.

Dan Boger: Remote Sensing Intel Program. Funding assured through FY12. NPS asking the Board for suggestions or assistance to find long-term sponsors.

Jeff Kline: CRUSER - There are 230 members in the community of interest. Across the navy, across academia, and across industry. Tasking is to take the unmanned systems and address the entire spectrum from technical to field experimentation from the ethical and social and legal issues, and from the acquisition issues address those and provide a forum to discuss and advance those concepts. Tying together (robotics, autonomy, mechanic systems) with concept generation, with warfare analysis, and wargaming to provide information exchange which advance research in a collaborative-type environment. ONR is funding sponsor.

Brij Agrawal: Briefed the Board on the challenges and goals of the Center of Excellence on Adaptive Optics for National Security (Spacecraft Research and Design)

Chris Eagle presented the status of the NPS CYBER Programs.

At the conclusion of the NPS briefs, the Board discussed:

- The Possibility of creating a Board Award. No decisions on who or what the award would be. Board members will present ideas at next meeting.
- How to recognize departing board members (General Peck's last meeting)
- Topics for October meeting: Interaction between the two sub-committees; Faculty quality and the intellectual health of the institution. Recruiting/growth and development/retention, keeping faculty.
- Review succession plan, annual discussion by leadership of risks critical to the institution.
- OSHA questions surrounding the nuclear work that goes on at NPS.
- Discuss strategic plan, perhaps create an ad hoc sub-committee or having a separate meeting.
- Comments on Q-team report.

These minutes will be delivered to the Overarching Board of Advisors to the Presidents of the Naval Postgraduate School and the Naval War College at their next meeting 29 September 2011.

The DFO adjourned the meeting at 12noon. The next NPS BOA subcommittee meeting will convene on 29 September 2011 in Washington, DC.

The meeting agenda and the briefs are attached to these minutes.

CONFIRMED NPS BOA MEETING ATTENDEES

- **VADM (RET) LEE & LILY GUNN
- *MR. MICHAEL BAYER
- *HONORABLE KIM AND MRS. PAM WINCUP
- *VADM MARK FERGUSON (LAURÉ)
- *ADM (RET) TIMOTHY KEATING
- *MR. RICHARD MENARD (NWC OBSERVER – NOT A BOARD MEMBER)
- *LT GEN ALLEN PECK, USAF
- *DR. ELISABETH PATÉ-CORNELL
- *MR. JEFFERY BEAROR
- *COL AL BOURQUE, USA
- *RADM NEVIN CARR, USN
- *LT MICHAEL CHESNUT (AIDE TO RADM CARR)
- *CAPT TIMOTHY DUENING, USN
- *MR. WALTER ANDERSON
- **HONORABLE DR. JACK BORSTING & PEGGY
- *RADM DOUGLAS MCANENY, USN
- *VADM (RET) DAVID FROST

*Indicates lodging at NPS

**Indicates self-arranged lodging outside of NPS

STUDENT LUNCHEON

Thomas Seigenthaler

LCDR Aaron Hay

LT Jim Drennan

Maj Dan Harris

LT Robert Blanchard

Capt Jesus Raimundi

Maj Mark Fulmer

LTC Michael Gabel

LCDR Clint Miller

LCDR Stephen Ures

LCDR Rachele Wharton

LT Matthew Yokeley

LT Ryan Birkelbach

Lee Gunn

Michael Bayer

Kim Wincup

Mark Ferguson

Tim Keating

Al Peck

Elisabeth Pate-Cornell

Jeffery Bearor
Al Bourque
Nevin Carr
Tim Duening
Walter Anderson
Jack Borsting
Douglas McAneny
David Frost



Naval Postgraduate School Board of Advisors Meeting

26 – 27 April 2011

Meeting to be held at:

**Naval Postgraduate School
INGERSOLL HALL, ROOM 361
Monterey, CA 93943**

Tuesday, 26 April 2011

Navy Uniform: Khaki (Service Equivalent)

Civilian Dress: Business dress (jacket/tie)

<u>Time</u>	<u>Event</u>	<u>Place/Detail/Briefer</u>
0730	Continental Breakfast	Ingersoll 361
0800	Open Meeting/Welcome	Designated Federal Official (DFO) Jaye Panza
0800 – 0815	Introductions/Agenda Overview/Appoint Chairman	Overarching Chairman/ VADM (Ret) Lee Gunn
0815 – 0825	Overarching Committee & Sub-Committee Membership Update/Future Meeting Schedule	VADM (Ret) Lee Gunn
0825 – 0835	Ethics Briefing/Standards of Conduct Annual Training	Kath Ashton, General Counsel
0835 – 0945	NPS Update/Discussion	President Dan Oliver
0945 – 1000	Radiation Safety	Dr. Karl van Bibber
1000 - 1045	Committee on the Future	RADM (Ret) Jerry Ellis
1045 – 1100	BREAK	

1100 - 1130	NPS/Air Force Institute of Technology Distributed Learning Masters in Cost Estimating and Analysis	Lt Col Greg Mislick, USMC (Ret)
1130 - 1145	BOARD DISCUSSION	Chair Leads
1145 - 1200	BREAK, Travel to Knox Library	Escorted
1200 - 1300	LUNCH WITH STUDENTS	Dudley Knox Library
1300 - 1315	Library Update	Ms. Eleanor Uhlinger
1315 - 1345	EXECUTIVE BREAK	Kn138: (831) 656-3428 Graham's cell
1345 - 1400	OFFICIAL PHOTOGRAPH/BREAK	?
1400 - 1405	Travel to Schools	Library
1405 - 1545	Visits to Schools' Programs	Deans will escort Various locations
1545 - 1600	BREAK	Deans will escort back to Ingersoll 361
1600 - 1630	NPS Foundation Update	RADM (Ret) Merrill Ruck
1630 - 1700	Board Discussion	Chair Leads
1700 - 1740	Personal Time	
1740	Meet in front of Herrmann Hall <i>Dress: Civilian Coat/Tie</i>	Travel by van to: 1 Garzas Trail, Carmel
1745 -	Enroute to Griffith Residence	



Naval Postgraduate School Board of Advisors Meeting

26 – 27 April 2011

Meeting to be held at:

**Naval Postgraduate School
INGERSOLL HALL, ROOM 361
Monterey, CA 93943**

Wednesday, 27 April 2011

<u>Time</u>	<u>Event</u>	<u>Place/Detail</u>
0715 – 0815	Breakfast with NPS Faculty	Herrmann Hall (El Prado Room)
0815 – 0830	Travel to Ingersoll 361	
0830 – 1000	Multi-Discipline Programs	Dr. Leonard Ferrari/ Dr. Karl Van bibber
1000 – 1015	BREAK	
1015 – 1045	NSAM Commanding Officer Introduction Installation Update	CAPT Gerral David
1045	General Meeting Adjourned	DFO
1045 – 1145	EXECUTIVE BREAK	Chairman Leads IN361: 831 656-1941 Graham's cell

GSBPP: Bayer, Carr, Anderson,
GSEAS: Peck, Pate-Cornell, Bearor, Frost
GSOIS: Bourque, Borsting, Gunn

SIGS: Ferguson, Keating, McAneny, Wincup

-  RADM Ellis
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-  NPS BOA Meeting
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-  NPS BOA ETHICS
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-  Faculty Awards
and Recognition.
-  NPS AFIT BOFA
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Panza, Jacquelyn (Jaye) (CIV)

Subject: RE: Official 26-27 April Meeting Minutes of the NPS BOA

-----Original Message-----

From: Lee Gunn [<mailto:Gunnl@cna.org>]
Sent: Wednesday, May 4, 2011 11:47 AM
To: Oliver, Daniel (VADM); Panza, Jacquelyn (Jaye) (CIV)
Subject: RE: Official 26-27 April Meeting Minutes of the NPS BOA

Jaye,

Certified.

Thanks,
Lee

>>> "Panza, Jacquelyn (Jaye) (CIV)" <JPanza@nps.edu> 5/4/2011 7:33 AM
>>>

Hi Lee,

Attached are the 26-27 April 2011 meeting minutes for your review/certification (email is okay)

Thank you, Lee

V/r,
Jaye

Jaye Panza
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